

BOARD OF GOVERNORS MEETING

**1:00 p.m., Thursday, November 22, 2012
Room 4155, Stevenson Hall**

1. Adoption of Agenda - Open Session
2. **Report of the President** (Amit Chakma)
3. Unanimous Consent Agenda - **Appendix I**
 - Includes Open Session Minutes of the Meeting of September 25, 2012
4. Business Arising from the Minutes
5. Reports of Committees:
 - Property & Finance Committee - **Appendix II** (Chirag Shah)
 - Senior Operations Committee – **Appendix III** (Steve Coxford)
 - Fund Raising and Donor Relations Committee - **Appendix IV** (Jackie Moss)
6. Items Referred by Senate - **Appendix V** (Amit Chakma)
7. Questions from Members
8. Other Business
9. Adjournment to Confidential Session

Meetings of the Board beginning at 1:00 p.m. will normally end by 4:30 p.m. unless extended by a majority vote of those present

MINUTES OF THE MEETING OF THE BOARD OF GOVERNORS

November 22, 2012

The meeting was held at 1:00 p.m. in Room 4155, Stevenson Hall.

PRESENT: Mr.S. Coxford, Chair
Ms. I. Birrell, Secretary

Dr. C. Beynon
Mr. J. Bitove
Dr. J. Capone
Dr. A. Chakma
Dr. R. Darnell
Mr. M. Dietrich
Ms. L. Gainey
Ms. L. Gribbon
Ms. S. Grindrod
Mr. H. Hassan
Mr. P. Jenkins

Mr. J. Knowles
Ms. G. Kulczycki
Mr. M. Lafortune
Mr. D. Lemieux
Ms. J. Moss
Mr. C. Shah
Dr. S. Sims
Mr. A. Syed
Ms. J. Vanderheyden
Dr. J. White
Mr. M. Wilson

By Invitation: G. Beattie, H. Connell, S. Gajic-Bruyey, S. Jarrett, R. Langille, L. Logan

The meeting began in closed session so that the Board could receive a report from Mr. Geoff Beattie, Campaign Chair, on the campaign's progress. Details of his remarks are in the closed session minutes of this meeting as part of the report of the Fund Raising and Donor Relations Committee.

BG.12-85

REPORT OF THE PRESIDENT

The President's Report included the following: government update, leadership review and recruitment update, strategic plan renewal, student surveys and Canada Research Chairs. Details are found in his report distributed with the agenda. In addition, he provided the following updates.

Update on Strategic Mandate Agreements

Western submitted its response to the Strategic Mandate Agreements. It is not known how the recent change in leadership at the Ministry of Training, Colleges and Universities will impact the process for review of universities' submissions.

Alumni Engagement

The upcoming Global Day Ivey event is an example of how alumni can gather and connect on a large scale. This is an excellent model for other Faculties. Large scale alumni events have also been held successfully by the Faculty of Law and the Schulich School of Medicine and Dentistry.

Research

Research initiatives that have recently garnered public awareness include: approval to commence clinical trials of an Aids Vaccine developed by Dr. Chil-Yong Kang; Adrian Owen's breakthrough

communication with patients in a vegetative state; official launch of the Fraunhofer Project Centre (FPC) for Composites Research.

BG.12-86 **UNANIMOUS CONSENT AGENDA** [Appendix I]

It was moved by S. Grindrod, seconded by L. Gribbon,

That the eighteen items listed in Appendix I be approved and/or received for information by the Board of Governors by unanimous consent.

CARRIED

BG.12-87 **Minutes of the Previous Meeting**

The minutes of the meeting of September 25, 2012, were approved as circulated.

REPORT OF THE PROPERTY AND FINANCE COMMITTEE [Appendix II]

BG.12-88 **Resolution Regarding the Operation of the Ivey Value Investing Fund**

It was moved by M. Dietrich, seconded by M. Wilson,

That the Board of Governors approve that Schedule A - TD Waterhouse Resolution for Trading be approved to establish an investment account for the Ivey Value Investing Fund (detailed in revised Appendix II, Annex 6).

CARRIED

BG.12-89 **Information Items Reported by the Property and Finance Committee**

The Report of the Property and Finance Committee, detailed in Appendix II, contained the following items that were received for information by unanimous consent:

- Ivey Professorship in Leadership
- Key Financial Indicators
- Report on Endowments
- Budget Indicators
- Campus Master Plan Update
- Scholarships and Awards

REPORT OF THE SENIOR OPERATIONS COMMITTEE [Appendix III]

BG.12-90 **Special Resolution #6 – Presentation to Retiring Members of the Board – Amendments**

It was moved by S. Grindrod, seconded by L. Gribbon,

That *Special Resolution 6* be amended to read as follows:

A member who retires following completion of at least one full membership year of service and who has attended at least 25 percent of the scheduled meetings of the Board shall receive a suitable memento of that service, to be determined by the Senior Operations Committee.

CARRIED (By Unanimous Consent)

BG.12-91 MAPP 1.35 – Non-Discrimination/Harassment Policy

It was moved by S. Grindrod, seconded by L. Gribbon,

That the Board of Governors approve the proposed revisions to MAPP 1.35 – *Non-Discrimination/Harassment Policy*, shown in Appendix III, Annex 1.

CARRIED (By Unanimous Consent)

BG.12-92 Items Reported by the Senior Operations Committee

The Report of the Senior Operations Committee, detailed in Appendix III, contained the following items that were received for information by unanimous consent:

- University Discipline Appeals Committee – Membership
- Vice-Provost (Graduate & Postdoctoral Studies) – Reappointment

REPORT OF THE FUND RAISING AND DONOR RELATIONS COMMITTEE [Appendix IV]

BG.12-93 General Awareness and Campaign Advertising

An update on the brand awareness advertising campaign was provided in Appendix IV. Ms. Helen Connell said that national campaign advertising will be launched in 2013; marketing via the social network (e.g. facebook, etc.) will be included in the planning process.

BG.12-94 Information Items Reported by the Fund Raising and Donor Relations Committee

The Report of the Fund Raising and Donor Relations Committee, detailed in Appendix IV, contained the following item that was received for information by unanimous consent:

- Quarterly Fund Raising Report to the Board

ITEMS REFERRED BY SENATE [Appendix V]

BG.12-95 Revisions to MAPP 7.10: Standardized Training for Animal Care and Use

It was moved by S. Grindrod, seconded by L. Gribbon,

That changes to the policy on Standardized Training for Animal Care and Use (MAPP 7.10) be approved as set out in Appendix V, Annex 1.

CARRIED (By Unanimous Consent)

BG.12-96 Revisions to MAPP 7.12: Policy and Procedures for the Use of Animals in Research and Teaching

It was moved by S. Grindrod, seconded by L. Gribbon,

That changes to the Policy and Procedures on Use of Animals in Research, Testing and Teaching (MAPP 7.12) be approved as set out in Appendix V, Annex 2.

CARRIED (By Unanimous Consent)

BG.12-97 Schulich School of Medicine & Dentistry – Dissolving the Department of the History of Medicine

It was moved by S. Grindrod, seconded by L. Gribbon,

That the Department of the History of Medicine in the Schulich School of Medicine & Dentistry close effective January 1, 2013.

CARRIED (By Unanimous Consent)

BG.12-98 Faculty of Arts and Humanities: Dissolving the Program in Writing, Rhetoric and Professional Communications; Renaming the Department of English to Department of English and Writing Studies

It was moved by S. Grindrod, seconded by L. Gribbon,

That effective January 1, 2013, the Program in Writing, Rhetoric and Professional Communications be closed, and;

That the Department of English be renamed the Department of English and Writing Studies.

CARRIED (By Unanimous Consent)

BG.12-99 Information Items Referred by Senate

Appendix V, Items Referred by Senate, contained the following items that were received for information by unanimous consent:

- Report of the Academic Colleague
- Academic Administrative Appointments

S. Coxford
Chair

I. Birrell
Secretary

REPORT OF THE PRESIDENT

To: Board of Governors
From: Amit Chakma
Date: November 15, 2012
Re: President's Report to the Board

I am pleased to provide the following update on important developments and achievements since the last meeting of the Board on September 25, 2012.

1. Government update: Western's Strategic Mandate Agreement (SMA) was submitted to Glen Murray, Minister of Training, Colleges and Universities (TCU), prior to the September 30 deadline. On October 15, Dalton McGuinty announced that he will be stepping down as Premier and that he had prorogued the provincial legislature. All legislation and motions before the House and Committees, including the latest compensation restraint legislation that was introduced a week earlier, died on the order paper. With a leadership convention scheduled for January to name his successor, the Premier also enacted a rule that anyone running for leader of the Ontario Liberal party must resign from cabinet first. Subsequently, Glen Murray stepped down as Minister and announced his entrance into the leadership race, and John Milloy (MPP Kitchener Centre) was sworn in as TCU Minister—a role he has served previously (2007-11) and one he is presently serving in addition to being Minister of Community & Social Services and Government House Leader.

In the meantime, the Higher Education Quality Council of Ontario (HEQCO) announced their expert panel and timelines for the review of SMAs submitted to the government by the province's 44 colleges and universities. HEQCO's goal is to provide its final appraisals to government by late February. A letter has also been received from Deputy Minister Deborah Newman regarding processes around SMA reviews and Tuition Framework consultations. Her letter states that a parallel review of SMAs will be conducted in addition to the one done by HEQCO. It remains unclear what the next steps will be once these reviews are completed under a new Minister.

Contrary to former Minister Murray's suggestion that a consultation would take place regarding a new tuition framework, TCU believes that this has already been done over the past year but invited submissions from the sector on tuition policy. TCU working groups have met with stakeholders on

technical issues. With a new Premier being selected in January, followed by a new cabinet, then potentially a Throne Speech and possibly a budget, and then a potential spring election, Western is participating fully in the various TCU and HEQCO consultations, and looks forward to working with Minister Milloy while he holds the portfolio.

2. Leadership review and recruitment update: On November 9, Provost & Vice-President (Academic) Janice Deakin announced that the Board's Senior Operations Committee had formally approved Dr. Linda Miller's re-appointment to a second term as Vice-Provost (Graduate & Postdoctoral Studies). Dr. Miller has served in this role since 2008 when the School of Graduate & Postdoctoral Studies was created, and her new term will continue through June 30, 2019. During the review process, Dr. Miller received strong endorsement from our campus community and we wish her continued success in this important leadership role.

In early October, an External Review was conducted for the Ivey Business School. Looking ahead, Decanal Selection Committees for Ivey and the Faculty of Social Science will continue their work to recruit successors for Deans Carol Stephenson and Brian Timney now that external search consultants have been engaged and advertisements have been placed in various media outlets.

3. Strategic Plan renewal: At the October Senate meeting, Provost Deakin made a presentation to outline the initial steps being taken to renew Western's strategic plan. Western created its first-ever Strategic Plan, *Leadership in Learning*, in 1995. That plan was followed by *Making Choices: Western's Commitments as a Research-Intensive University*, in 2001. And, most recently, *Engaging the Future*, originally approved by Senate and the Board in 2007, was modestly updated in 2010. Developing our new strategic plan will be very important from an engagement and consultation perspective, and will build on our institutional strengths which are based on the solid foundation laid in past strategic plans. Input from the campus community will be solicited and received by the Senate Committee on University Planning from now through February, with the aim of providing a final report to Senate and the Board for approval in fall 2013.

4. Student surveys: Western topped the *Globe and Mail's* annual University Report, published October 23, for the 11th consecutive year in the category for research-intensive universities. Western received an A for "most satisfied students"—the only A awarded in the large universities category (more than 22,000 students). Overall, in the survey of more than 31,000 undergraduate students across Canada, Western topped 11 of 19 categories—six led outright and five tied. These categories included such areas as instructor's teaching style, buildings/facilities, residences, recreation/athletics and work-play balance.

And earlier this month, the University's internal [Report on the Survey of Graduating Students for 2011-12](#), suggests 92.6% of recent graduates would recommend Western to a friend. That number was up almost a full point from last year's mark of 91.7. The survey was distributed to 5,556 undergraduate, certificate and diploma students who were expected to graduate in fall 2011 or spring 2012 convocations. Of these, 855 questionnaires (15.4%) were returned. It remains gratifying to see our students continue to express satisfaction with the high-quality education they receive at Western, and all credit belongs to the exceptional students, faculty and staff we attract to campus.

5. **Canada Research Chairs**: In addition to eight Western faculty members who had their existing Canada Research Chairs (CRC) renewed for another term in October, we added two new Tier 2 CRCs—bringing the University's current total to 59. Congratulations go to Bipasha Bruah, CRC in Global Women's Issues, who develops theories, methodologies and analytical frameworks for conducting interdisciplinary research on gender and property ownership. Also to Girma Bitsuamlak, CRC in Wind Engineering, who combines the use of experiments and computer simulations to uncover the impact of hurricanes, tornadoes and downbursts on buildings and energy infrastructure.

ACTIVITIES OF THE PRESIDENT

(September 15 – November 14, 2012)

September	17	London	Phone Call with Chris Eaton (World University Service Canada)
			Mr. Art Zuidema (London City Manager)
			Michael Kirby Reception & Dinner (Gibbons)
	18	London	Next 36 Event
	19	London	BASICS (Principled Leadership and University Governance) Session
			Retired Academics Group Speakers Series
			Western Scholars Kick Off Celebration
	20	London	Psychology Department Meeting
			Videotaping of Carol Stephenson's Tribute
			Teleconference with OCE Board
	21	London	Senate
	22	London	Western Serves Event
			Family Football Game
	24-25	London	Board Retreat / Board of Governors
	26	London	General Dynamics Land Systems Canada Lunch
			Welcome Reception for Vice-President Research John Capone
	27	Toronto	COU Executive Heads Retreat
	28	London	Alumni Awards of Merit
	29-30	London	Homecoming Weekend
October	1	London	Schulich Accreditation Team
		Ottawa	STIC Measurement Working Group
			STIC Council Meeting
	2	Ottawa	STIC Council Meeting
	3	London	Alysha Li (USC VP University Affairs), Janice Deakin, Julie McMullin
			Captain Tristan Hadfield (Public Affairs Officer, National Defence) Presentation of Certificate
	3		Universitat Konstanz Delegation Lunch
			Phone Call with Suzanne Corbeil (U-15)
	4	London	Telephone Call with AUCC
			Teleconference with IBM Panel
	5	Regina	Queen's Diamond Jubilee Medal Ceremony
	9	Paris	Canada Day in France
	10	Paris	Francois Bourguignon (Director, Paris School of Economics)
	11	Toronto	COU Executive Heads
	12	London	Phone Call with Suzanne Corbeil (U-15)
			Dr. Gordon McBean (Geography Department)
			Kamal Ahmad (Asian University for Women)
	15	London	Dinner with Dr. Paul Davenport (Gibbons)
	16	London	Michael Fine (International Education Strategy Panel)
	17	Toronto	Teleconference Campaign Executive Committee
			Lunch with Mr. Jordan Gnat (Managing Director, Scientific

			Games Products)
			Teleconference WUSC
			Ivey Business Leaders Award Dinner
	18	London	Meet with African Delegates and Guests
			Africa Institute Opening Remarks
			Serve Coffee for the “United Way”
			United Way Kick Off Event
			Teleconference for Ontario Economic Summit Event
	19	London	Kingsmill Plaque Unveiling Ceremony
			Senate
	22	Montreal	World Congress on Information Technology 2012 (WCIT 2012)
	23-24	Ottawa	AUCC Conference
		London	Convocation Dinner for Arthur and Sonia Labatt (Gibbons)
	25-26	London	Fall Convocation Ceremonies and Honorary Degree Dinners
	26	London	Lunch with Dr. Ursula Schaefer (Technical University of Dresden, Germany)
			Teleconference U-15
	30	London	Phone Call with Suzanne Corbeil (U-15)
			Rob Leone (MPP Cambridge)
			Lunch with Adam Fearnall (USC President)
			Campus Council
			United Way Retirees Reception (Gibbons)
	31	London	Phone Call with John Kelleher (RHB Group)
November	1	Toronto	Lunch with Andrew Federer (Managing Director & Head, RBC Capital Markets)
			Law Alumni Awards of Distinction Reception
	2-3	Ottawa	WUSC Board of Directors and General Assembly
	5	London	Fraunhofer Project Centre Grand Opening with Minister Diane Finley
	6	Montreal	Karen McBride (President & CEO CBIE)
			Panel Discussion at CBIE Conference
	7	Niagara on the Lake	Ontario Economic Summit Conference (Panel Discussion)
		Toronto	Engineering Leaders Reception
	8	London	Pride Rally
			Convocation Volunteers Thank You Lunch
			Rotman International Advisory Board
			Teleconference U-15
			Rotman International Advisory Committee Dinner
	13	London	Breakfast with Richard Dicerni
	14	London	Opening Remarks for Canadian Space Summit
			Teleconference Fundraising & Donor Relations Committee

UNANIMOUS CONSENT AGENDA

FOR APPROVAL

Any member who wishes to ask a question, discuss, or oppose an item that is listed below may have it removed from the consent agenda by contacting the Secretary of the Board of Governors prior to the meeting or by asking that it be removed before the Chair calls for a mover and seconder for the following motion.

Recommended: That the following items be approved or received for information by the Board of Governors by unanimous consent:

Minutes

1.	Open Session Minutes of the Meeting of September 25, 2012	ACTION
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Report of the Property & Finance Committee – [Appendix II](#)

2.	Ivey Professorship in Leadership	INFORMATION
3.	Key Financial Indicators	INFORMATION
4.	Report on Endowments	INFORMATION
5.	Budget Indicators	INFORMATION
6.	Campus Master Plan Update	INFORMATION
7.	New & Revised Scholarships and Awards	INFORMATION

Report of the Senior Operations Committee – [Appendix III](#)

8.	Special Resolution #6 – Presentation to Retiring Members of Board - Amendment	ACTION
9.	MAPP 1.35 – Non-Discrimination/Harassment Policy - Amendments	ACTION
10.	University Discipline Appeals Committee – Membership	INFORMATION
11.	Reappointment of Vice-Provost (Graduate & Postdoctoral Studies)	INFORMATION

Report of the Fund Raising & Donor Relations Committee – [Appendix IV](#)

12.	Quarterly Fundraising Report to the Board	INFORMATION
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Items Referred by Senate – [Appendix V](#)

13.	Revisions to MAPP 7.10 – Standardized Training for Animal Care and Use	ACTION
14.	Revisions to MAPP 7.12 – Policy and Procedures for the Use of Animals in Research and Teaching	ACTION
15.	Schulich School of Medicine & Dentistry – Dissolving the Department of the History of Medicine	ACTION
16.	Faculty of Arts & Humanities – Dissolving the Program in Writing, Rhetoric and Professional Communications; Renaming the Department of English to Department of English and Writing Studies	ACTION
17.	Report of the Academic Colleague	INFORMATION
18.	Academic Administrative Appointments	INFORMATION

The Unanimous Consent Agenda

The Board's parliamentary authority -- *Sturgis Standard Code of Parliamentary Procedure* -- explains the consent agenda:

Organizations having a large number of routine matters to approve often save time by use of a *consent agenda*, also called a *consent calendar* or *unanimous consent agenda*. This is a portion of the printed agenda listing matters that are expected to be non-controversial and on which there are likely to be no questions.

Before taking the vote, the chair allows time for the members to read the list to determine if it includes any matters on which they may have a question, or which they would like to discuss or oppose. Any member has a right to remove any item from the consent agenda, in which case it is transferred to the regular agenda so that it may be considered and voted on separately. The remaining items are then unanimously approved *en bloc* without discussion, saving the time that would be required for individual votes.

A number of Canadian university Boards have employed the consent agenda format to include not only routine approval items, but also information items. One reason for using this format is to allow the Board to focus on major items of business. While approval of an omnibus motion saves time at Board meetings, Board members will want to review the agenda materials carefully in order that they properly discharge their responsibilities.

How it works:

The Secretary identifies action and information items that are routine and/or likely non-controversial. In so doing, she may consult with the Chair of the Board, the relevant committee chair, and principal resource persons. In each Committee's report, these items are noted in the list of items at the beginning of the report. Action and information items on the agenda and in committee reports that are not noted on the consent agenda will be presented singly for discussion and voting (when appropriate).

When members receive their Board agendas, they should review all reports in the usual manner. **If any member wants to ask a question, discuss, or oppose an item that is marked for the consent agenda, he or she can have it be removed from the consent agenda** by contacting the Secretary of the Board of Governors prior to the meeting or by asking that it be removed before the Chair calls for a mover and seconder for the motion to approve or receive, by unanimous consent, the items listed.

At the Board meeting, before the unanimous consent motion is presented for approval, the Chair of the Board (1) will advise the Board of items that are to be removed from the list, based on prior requests from Board members; and (2) will ask if there are any other items that should be removed from the list. The remaining items are then unanimously approved *en bloc* without discussion, saving the time that would be required for individual presentation and voting. Those matters that have been struck from the consent agenda will be handled in the usual way as each Committee's report is presented.

The minutes of the Board meeting will report matters approved as part of the consent agenda as "carried by unanimous consent". Information items received as part of the consent agenda will be reported as received.

REPORT OF THE PROPERTY AND FINANCE COMMITTEE

Contents	Consent Agenda
Resolution Regarding the Operation of the Ivey Value Investing Fund	No
Ivey Professorship in Leadership	Yes
Key Financial Indicators	Yes
Report on Endowments	Yes
Budget Indicators	Yes
Campus Master Plan Update	Yes
Scholarships & Awards	Yes

FOR APPROVAL

1. Resolution Regarding the Operation of the Ivey Value Investing Fund

Recommended: That the Board of Governors approve that **Schedule A** – TD Waterhouse Resolution for Trading - be approved to establish an investment account for the Ivey Value Investing Fund.

Background

The Ivey Value Investing Fund (the “Fund”) is being established with a \$1,000,000 gift from The Six Four Foundation. The assets of the Fund are to be used to carry out real world investment transactions, as recommended by Ivey MBA and HBA students enrolled in Value Investing courses. In accordance with the terms of the gift, the Fund is to be administered jointly by the Ben Graham Chair in Value Investing (currently Dr. George Athanassakos), the Chief Financial Officer of Ivey (currently John Irwin) and the Dean of Ivey (currently Carol Stephenson). In order to carry out transactions, the Fund wishes to establish a trading account with TD Waterhouse in the name of the University of Western Ontario (Ivey Value Investing Fund). Dr. Antanassakos and Mr. Irwin would have authority, on behalf of the University, to operate the trading account. The operation of such an account is not covered by the Board’s standard banking resolution, and TD Waterhouse requires the Board to pass a resolution in the form attached hereto as Schedule A (**Annex 6**) in order to establish the account. This account will not permit the type of activities set out in paragraph 2 of the resolution (i.e borrowing, providing security), and thus no signing officers are being appointed, so that there is no authority under this resolution to carry out any of the transactions listed in that paragraph.

It is therefore requested that the Board pass the resolution in the form attached as Schedule A.

FOR INFORMATION

2. Ivey Professorship in Leadership

At its meeting of November 13, 2012. The Property and Finance Committee approved that the Ivey Professorship in Leadership be established, with academic appointment in the Richard Ivey School of Business and with the following terms of reference:

Donor and Funding

David Fear, HBA '91 and Kirsten Fear, MBA '93 have committed a gift of \$1.0 million in support of a professorship, an annual conference, research, curriculum enhancements and faculty development within the Ian O. Ihnatowycz Institute for Leadership. The gift is expendable over five years, commencing 2012-2013. \$300,000 of the Donor's generous gift will support the Ivey Professorship in Leadership for a five-year appointment.

Effective Date: November 16, 2012

Purpose:

The Ivey Professorship in Leadership will be part of the Ian O. Ihnatowycz Institute for Leadership, established in September 2010 "to secure Ivey's position as one of the top ten leadership institutes on a global basis." The holder of the Professorship will contribute significant expertise to the examination of leadership candour and authenticity in business, by conducting academic research, developing case studies and teaching materials, and providing leadership to annual student conferences.

Criteria:

This expendable Professorship will have academic appointment in the Richard Ivey School of Business. The candidate will be a full-time faculty member of the Richard Ivey School of Business with significant expertise in the area of leadership candour and authenticity in business. Through teaching and research conducted by the holder of the Professorship, the School will distinguish itself within Canada as the primary source of relevant, applied research in leadership candour and authenticity, and help develop the next generation of business leaders.

Appointment to the Ivey Professorship in Leadership will be conducted in accordance with the relevant policies and procedures of the University based on the recommendation of a selection committee consisting of the following members:

- a. A representative of the Dean's office of the Ivey School of Business (also being the Chair of the Selection Committee)
- b. The Executive Director of the Ian O. Ihnatowycz Institute for Leadership

The appointment of the Professorship will be for five years, at which time the Professorship will cease to exist.

Reporting:

The University, through the Richard Ivey School of Business, will report annually to the donors regarding the progress and advancement of the Professor's work.

3. Key Financial Indicators

See [Annex 1](#).

4. Report on Endowments

See [Annex 2](#).

5. Budget Indicators

See [Annex 3](#).

6. Campus Master Plan Update

See [Annex 4](#).

7. Scholarships & Awards

See [Annex 5](#).

KEY FINANCIAL INDICATORS

CAPITAL DEBT POLICY 2.30

Policy defines the responsibilities for approval of capital debt, the maximum limits on the amount of capital debt and the system of accountability

Administration reports quarterly to the Property & Finance Committee on the four measures contained within the Capital Debt Policy:

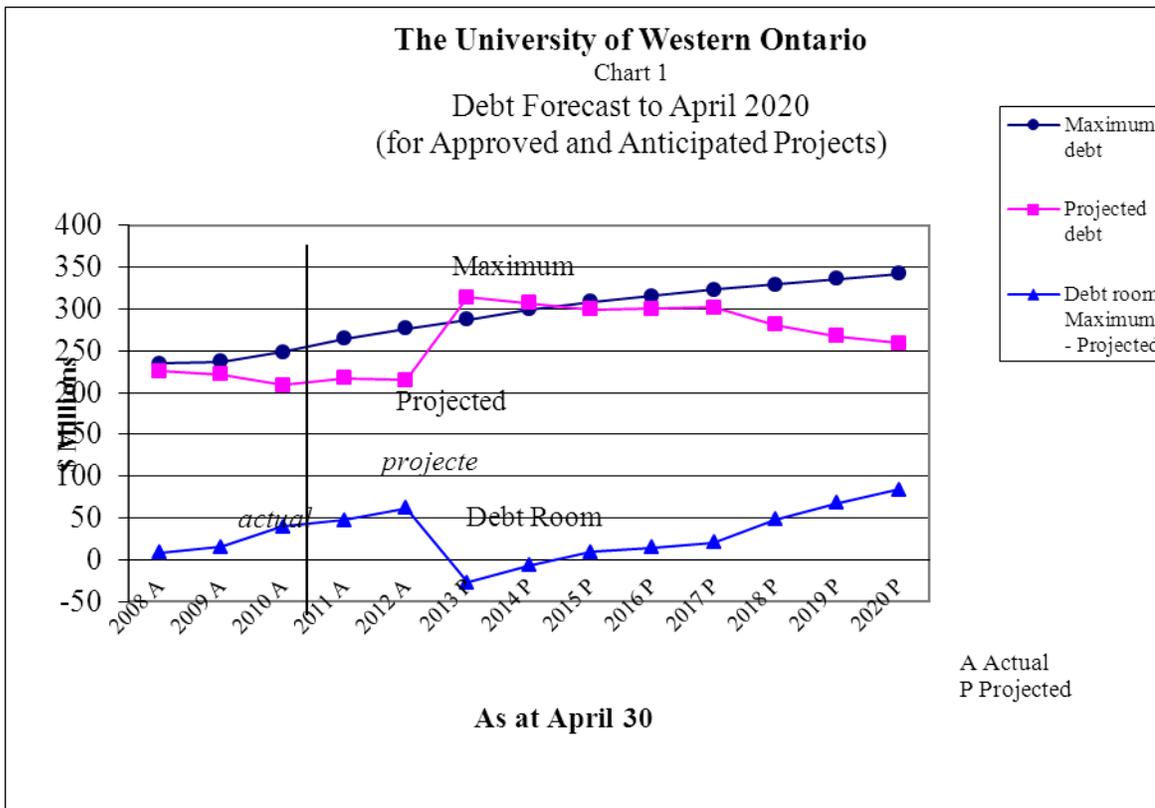
- a) Debt per Student FTE measures the amount of total UWO capital debt on a per FTE basis. The amount of total debt shall remain less than \$7,500 (adjusted by the change in CPI annually) per student FTE.
- b) Ratio of Debt to Total Revenue measures the amount of total UWO capital debt as a percentage of total UWO revenue. Total debt shall remain less than 35% of total revenue.
- c) Debt Service Costs as a Percentage of Revenues measures the percentage of total revenue that is allocated to debt principal and interest payments. The amount of obligatory debt principal and interest payments shall not exceed 4% of total University revenues.
- d) Ratio of Debt to Revenues that are Available for Debt Repayment measures the amount of total UWO capital debt as a percentage of revenues that are available to service debt. Such revenues include ancillary revenue, provincial operating grants, tuition fees and a portion of investment returns, but do not include research grants. Total debt shall remain less than 45% of revenues that are available to repay such debt.

The most limiting of the above ratios is the Debt per Student FTE. The Quarterly Report on Capital Debt informs the Property & Finance Committee about the impact that newly approved and proposed projects will have on the level of capital debt and on the debt ratios.

This Policy is also closely monitored by Standard and Poor's as part of their annual credit rating review of the University.

Chart 1 below shows the maximum debt; actual and projected debt; and Debt Room, which is the difference between the two. Total debt at April 30, 2012 was \$214.5 million.

In June 2011, the Board of Governors approved a recommendation to authorize the University to exceed the limits set out in the Capital Debt Policy, if required, by up to \$45 million for the fiscal periods 2013 and 2014 subject to review and renewal for an additional two years, with a commitment to minimize the quantum of the overage. Chart 1 reflects the relief that is required from 2013 through 2016.



The Capital Debt Policy also provides a guideline for administration to manage the percentage of floating rate debt within the range of 0% - 50% of total debt.

RATIO REPORT ON NON-ENDOWED FUNDS

Administration reports quarterly on the ratio of total investments to total obligations for non-endowed funds. The total obligations reflect funds that are owed to University accounts and other creditors. The Board target for this ratio is an average of 1.08 over the previous 12 quarters. At April 30, 2012 the ratio of investments to obligations for non-endowed funds was 1.30. When this ratio falls below 1.08, draws from non-endowed funds cease until the target ratio is reached as part of an approved annual budget.

BUDGET INDICATORS

This report tracks the actual annual results for five budget indicators: the Carryforward Reserve, the Operating Reserve, Operating Revenue, and Student aid.

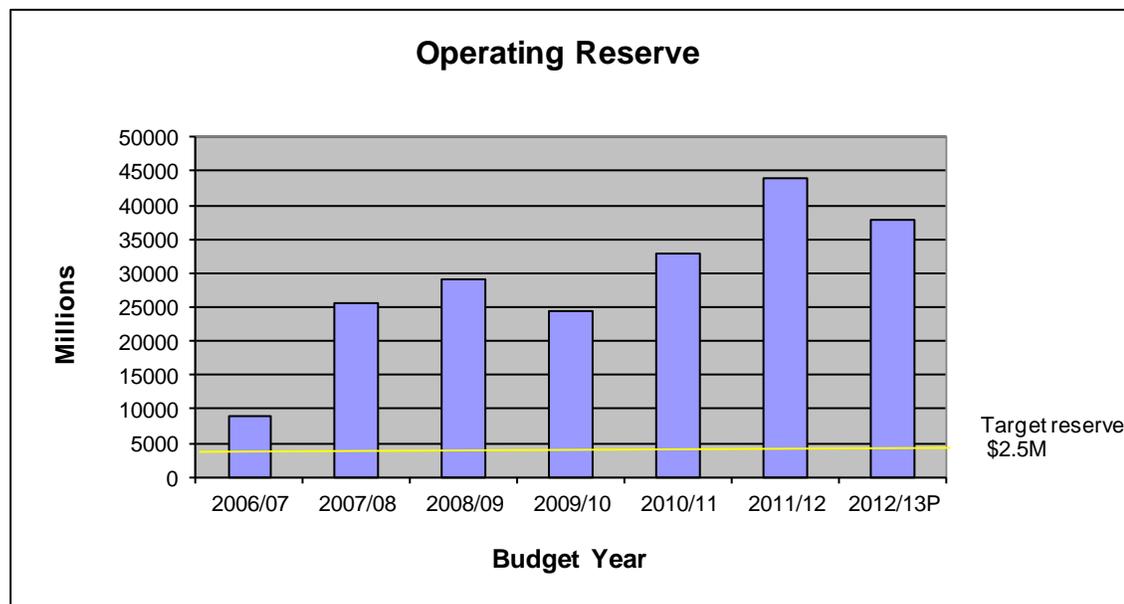
CREDIT RATING (AA)

Standard & Poor's measures financial health using a variety of indicators. The most recent credit rating review was issued February 29, 2012 which reaffirmed Western's AA rating (Negative trend) and identified the following outlook for Western:

- Strong Student Demand
- Sound Management Team
- Defined Contribution Plan
- Historically Stable Government Support
- Expected Debt Increase in near-term
- Continued pressure on operating budgets

OPERATING RESERVE

The Board of Governors has set a minimum level for the operating reserve at \$2.5M. The University has maintained an operating reserve above this level since 2002. The 2012/13 operating and capital budgets project the operating reserve at \$37.9M at April 30, 2013.



DEFERRED MAINTENANCE

Deferred maintenance is defined as work on the maintenance of physical facilities that has been deferred on a planned or unplanned basis to a future budget cycle or postponed until funds become available. To avoid increasing the size of the deferred maintenance backlog, it is necessary to carry out replacement of facility components on an annual basis.

As part of the 1995 Strategic Plan, the Board of Governors approved an incremental annual base transfer of \$750,000 from operating to capital in support of maintenance spending for 10 years, from 1996-97 to 2005-06 (the Maintenance, Modernization, and Infrastructure (MMI) transfer). In 2004, Western's Board of Governors approved the recommendation that the base transfer of \$750,000 be continued for another ten years after 2005-06 until 2015-16, when the annual transfer will be \$15.5 million. This commitment establishes Western as a leader among Canadian universities in maintaining its facilities and dealing with deferred maintenance.

For 2009-10 and 2010-11, the Board of Governors approved the transfer be maintained at \$10.25 million. In 2011-12, the base transfer was again increased by \$750,000. The MMI transfer, coupled with Facilities Renewal Funds received from MTCU will allow Western to allocate \$24.2M to maintenance expenditures in 2012-13, representing 1.5% of the Current Replacement Value of our nonresidential buildings, utilities and infrastructure.

Our ability to achieve the 2% target over the long-term is entirely dependent on Facilities Renewal Funds received from government (MTCU).

REPORT ON ENDOWMENTS

Annually, a report is provided to the Property & Finance Committee that details the growth of the endowment portfolio and any underwater endowments (current market value of the funds is less than the original donated amount(s)) that exist. The University's policy with respect to management of endowments permits a temporary draw down of endowment capital on the premise that the funds will recover. The market value of endowments at April 30, 2012 was \$371.7M versus legal capital of \$363.2M and the prior year value of \$362.4M.

REPORT OF THE INVESTMENT COMMITTEE

This report is provided quarterly to the Property & Finance Committee and outlines the performance of the operating and endowment investment portfolio and the recent activities of the Investment Committee.

For the CAUBO investment survey (conducted annually), we report the weighted average of the returns of Western and Foundation Western, as follows:

Annualized Returns as of December 31, 2011

	<i>1-Yr</i>	<i>2-Yr</i>	<i>3-Yr</i>	<i>4-Yr</i>	<i>5-Yr</i>	<i>10-Yr</i>
Western	-1.0%	4.4%	8.3%	0.8%	1.3%	4.2%
Foundation Western	-2.4%	3.7%	7.7%	1.2%	1.1%	3.9%
Weighted average	-1.6%	4.1%	8.0%	0.9%	1.2%	4.1%

There were 23 universities with endowments in excess of \$100 million at the end of 2011. Western's returns are above median over a five year investment horizon. The table below compares Western's return with the distribution of the returns for the largest 23 Canadian university endowments.

CAUBO Universe of Universities with Endowments Larger than \$100 Million Annualized Return as of December 31, 2011

	<i>1-Yr</i>	<i>2-Yr</i>	<i>3-Yr</i>	<i>4-Yr</i>	<i>5-Yr</i>	<i>10-Yr</i>
95 th Percentile	3.5%	7.6%	11.5%	3.4%	3.2%	6.8%
75 th Percentile	1.7%	6.4%	9.5%	2.1%	1.8%	5.1%
Median	0.8%	5.3%	8.6%	1.2%	1.1%	4.2%
25 th Percentile	-0.5%	4.3%	7.8%	0.5%	0.6%	4.0%
15 th Percentile	-2.4%	3.3%	6.4%	-0.2%	0.0%	3.1%
Western	-1.6%	4.1%	8.0%	0.9%	1.2%	4.1%

CAUBO 2011 Investment Survey: Top 10 Endowment Funds:

	University	Endowment Value (millions)		% Change
		2011	2010	
1	Toronto	\$1,521	\$1,539	-1%
2	British Columbia	\$1,032	\$1,046	- 1%
3	McGill	\$912	\$941	- 3%
4	Alberta	\$764	\$767	-
5	Queen's	\$597	\$610	-2%
6	Calgary	\$479	\$475	+ 1%
7	McMaster	\$415	\$429	-3%
8	Manitoba	\$394	\$374	+ 5%
9	Dalhousie	\$368	\$363	+ 1%
10	Western Ontario	\$347	\$348	-
		Average change:		-

EMPLOYEE FUTURE BENEFITS

Reported annually through the operating budget.

Employee Future Benefits – Obligation and Expense

as a % of Total Expenditures

2007 through 2012

	<u>Obligation</u>	<u>Expense</u>	<u>Total</u>	<u>Obligation</u>	<u>Expense</u>
	(in millions)	(in millions)	Expenses	%	%
			(in millions)		
2012	\$291.1	\$19.9	\$ 976	29.8%	2.0%
2011	\$272.1	\$24.0	\$ 952	28.6%	2.5%
2010	\$248.1	\$18.3	\$ 915	27.1%	2.0%
2009	\$229.9	\$21.7	\$ 905	25.4%	2.4%
2008	\$208.2	\$20.0	\$ 845	24.6%	2.4%
2007	\$188.1	\$14.9	\$ 762	24.7%	2.0%

FINANCIAL REPORT (OPERATING BUDGETS)

This report is provided to the Property & Finance Committee on a quarterly basis and tracks budget versus actual revenues, expenses and operating reserve.

ANCILLARY FINANCIAL REPORT

This report is provided to the Property & Finance Committee on a semi-annual basis and tracks budget versus actual revenues and expenses for Student Fee Funded units, Ancillaries, Academic Support Units and Associated Companies.

FUNDRAISING

This quarterly report presented to the Property & Finance Committee outlines pledge data for the current and two previous fiscal years and provides a status report on fundraising initiatives.

Report on Endowments

FOR INFORMATION:

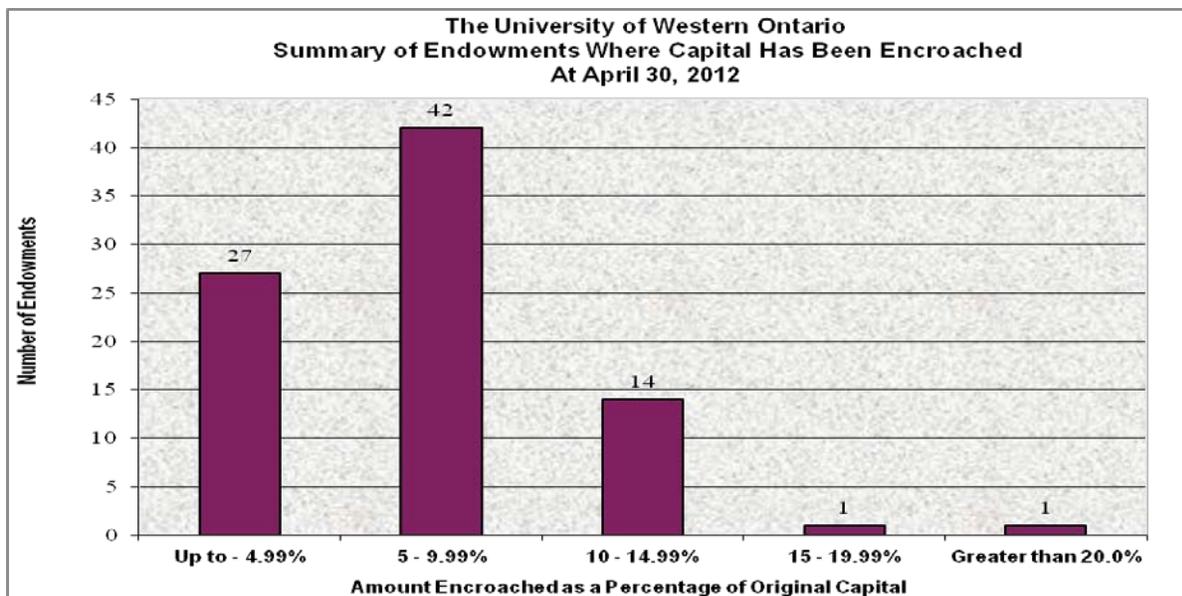
The University's policy with respect to the management of endowments permits a temporary draw down of endowment capital outlined in policy number 2.11, as follows:

"In any particular year, should accumulated investment returns be insufficient to fund the amount made available for spending, endowment capital will be used, with the expectation that such amounts will be recovered from future investment returns."

An endowment becomes underwater when the current market value of the fund is less than the original donated amount.

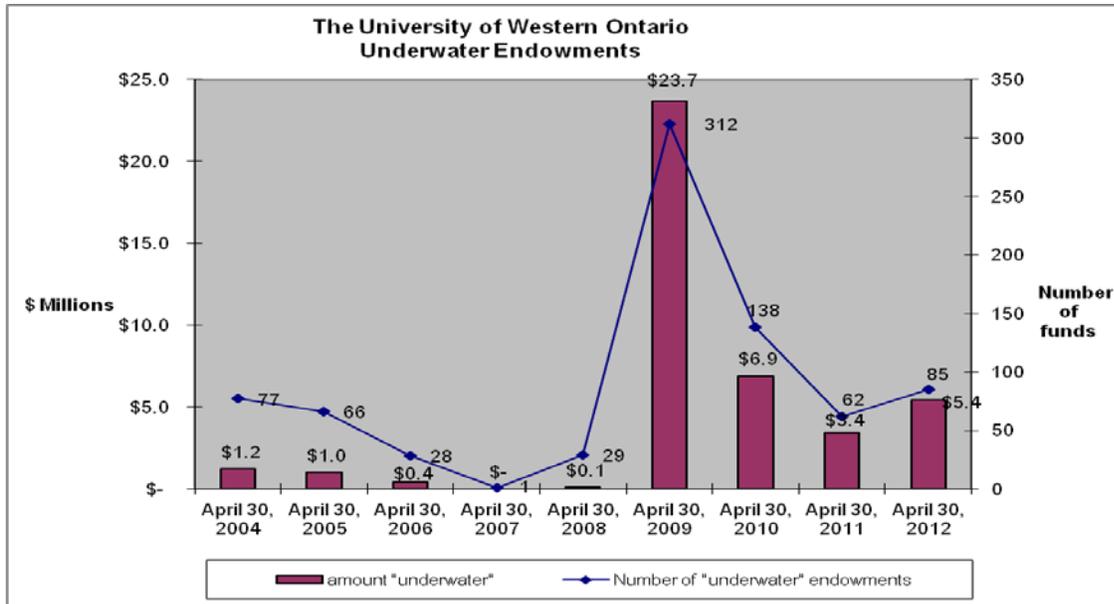
Equity markets around the world were volatile during the fiscal year ending April 30, 2012. Near double digit negative returns in Canadian and international equities were offset by positive returns in US equities fixed income and alternative investments. Net returns for the Operating & Endowment portfolio for fiscal 2012 were .30%. As a result of the relatively flat performance, the number of underwater endowments increased to 85 at April 30, 2012 from 62 at April 30, 2011. The number of underwater endowments represents approximately 11% of Western's endowments (8% in 2011).

The chart below provides a breakdown of the underwater endowments by the level of encroachment as a percentage of original capital.



At September 30, 2012, the number of underwater endowments increased from 85 to approximately 105 as a result of the allocation for spending and the current market volatility. The amount encroached is approximately \$7.1 million.

The following chart shows the number and amounts of underwater endowments for fiscal years 2004 – 2012.



From April 30, 2004 to April 30, 2012, the total number of endowments at Western increased approximately 19%, from 648 funds to 773. Between April 30, 2004 and April 30, 2012, the market value of Western's endowments has grown by 150% from \$90.4 million to \$226.2 million¹.

The Investment Payout Policy was last amended in January of 2011 to read as follows:

Effective May 1, 2011 endowed funds will be permitted to spend an amount equal to 4.0% of the average value of the total endowment over the most recent five year period. For endowments received after May 1, 2008, allocations for spending will start on May 1st of the fiscal year following their completion of one full fiscal year at Western. In the case of new endowments, the average will be calculated based upon the number of years the endowment has been at Western.

The University continued with its plan to diversify the holdings of the Operating & Endowment fund by investing in alternative investments. Allocations to private equity, real estate and commercial mortgages all positively contributed to performance during the year ending April 30, 2012 and represented approximately 9.3% of the portfolio as at April 30 2012.

The administration, along with the Investment Committee will continue to review Investment Payout Policy 2.11 and will make recommendations for changes as required in light of Western's competitive position and in light of the investment environment.

¹ These numbers include \$15.2 million of OSOTF I funds that are invested by Western on behalf of Foundation Western.

2011-12 Budget Indicators

Review of Five Budgetary Indicators

Each spring the annual Operating Budget contains references to important budget indicators: the Carryforward Reserve, the Operating Reserve, Operating Revenue, and Student Aid, along with preliminary estimates of those figures for the fiscal year just ending. Annually in the fall, the administration reports to the Property & Finance Committee the actual values for these indicators. **Table 1** shows the final values for these indicators for 2011-12, and historical data back to 1983-84. These are presented to the Committee for information, and to allow for questions that members might have on the data.

(1) Carryforward Reserve

Each year resources are allocated to the individual Faculties and Support Units, with no requirement that they be spent in the year in question. Unspent funds are carried forward into the next budget and appear in the Carryforward Reserve, shown in column (1) of the Table. There is no Board target for the size of this reserve, which reflects a great many individual decisions in the university's decentralized budgetary environment. The Carryforward Reserve grew sharply from 1988 to 1992, at a time when real operating spending was also growing. The reductions in real operating spending during the 1994 to 1997 period resulted in a sharp fall in the Carryforward Reserve from 1996 to 2001, as units have spent the funds in the Reserve. At April 30, 2012 the Carryforward Reserve had a balance of \$115.7 million, an increase of \$21.9 million from April 30, 2011.

(2) Operating Reserve

For the period 1984 to 1998, the Board recommended a target level of 1% of operating revenues for the Operating Reserve. As column (2) shows, from 1984 to 1996, this target was achieved once (in 1986), and from 1989 to 1996 the Reserve was in deficit position. In 1997 the Operating Reserve achieved the target of 1% after a \$9.3 million transfer from the investment reserve, and in 1998 the Operating Reserve exceeded the target by \$1.8 million. Since 1999 the Operating Reserve target level has been set at \$2.5 million. This target was recently re-affirmed by the Board on April 20, 2009. At April 30, 2012 the Operating Reserve was \$43.9 million, approximately \$41 million above the target level recommended by the Board and above the budgeted Operating Reserve of \$31.9 million. The 2011-12 University Budget shows expenditures forecast to exceed revenues next year, bringing the operating reserve forecast to \$37.9 million in 2012-13.

(3) Student Aid

Student Aid continues to be of high priority to the University. As column (4) indicates, Student Aid grew by approximately 582% between April 1997 and April 2011, in part because of government-mandated transfers of tuition revenues to the student aid budget. During the past year, total funds available in the central University Budget for student aid increased by \$3.1 million, reflecting increased support for graduate students and support from the University's private fundraising and endowments.

During these same years Total Operating Revenue (column 3) grew by 147%, thus indicating a growth in the proportion of the budget that is allocated to student aid.

(4) Real Operating Revenue Excluding Student Aid

This measure, shown in column (7), is one indicator of the budgetary stress the University is experiencing. The years shown can be divided into a number of periods: from 1984 to 1993, Real Net Revenue grew by 24.2%, from 1993 to 1998 Real Net Revenue decreased by 9.7%, and from 1998 to 2008 it again increased by 59.0%. In 2009, Real Net Revenue decreased by 1.2%, due mainly to negative investment returns. From 2010 to 2012, Real Net Revenue increased by 7.5%.

(5) Real Operating Revenue Excluding Student Aid per Student FTE

Column 10 provides Real Net Revenue per Student FTE and shows that the budgetary stress on the University is more evident than is concluded in the above indicator. During 1984 to 1993, Real Net Revenue per Student FTE increased by 18.1%, from 1993 to 1998 it decreased by 8.0% and then from 1998 to 2008 Real Net Revenue per Student FTE increased 23.0%. Note that this last period was during a time of significant increases in Real Net Revenue. Between 2009 and 2012, Real Net Revenue per Student FTE has hovered near 2008 levels but with increased volatility.

The University of Western Ontario
Operating Reserve & Revenue History

Year Ended	Carryforward Reserve (\$000)	Operating Reserve (\$000)	Change in Real Operating Revenue Excluding Student Aid						Student FTE's	Real Net Revenue Per FTE (\$)	% Change
			Total Revenue (\$000)	Total Student Aid (\$000)	Net Operating Revenue (\$000)	C.P.I.	Real Net Revenue (\$000)	% Change			
	(1)	(2)	(3)	(4)	(5)	(6)	(7)=(5)/(6)	(8)	(9)	(10)=(7)/(9)	(11)
30-Apr-84	3,119	696	145,200	2,527	142,673	1.000	142,673	-	20,961	6,807	-
30-Apr-85	2,673	1,448	153,554	2,711	150,843	1.038	145,321	1.9%	20,918	6,947	2.1%
30-Apr-86	2,551	1,647	160,900	2,808	158,092	1.078	146,653	0.9%	20,787	7,055	1.6%
30-Apr-87	3,215	986	167,701	2,723	164,978	1.127	146,387	-0.2%	20,965	6,982	-1.0%
30-Apr-88	3,046	1,185	183,221	2,770	180,451	1.172	153,968	5.2%	21,426	7,186	2.9%
30-Apr-89	4,278	(502)	197,237	3,003	194,234	1.225	158,558	3.0%	21,951	7,223	0.5%
30-Apr-90	6,878	(1,147)	210,636	3,197	207,439	1.286	161,306	1.7%	22,326	7,225	0.0%
30-Apr-91	9,880	(3,207)	225,572	3,664	221,908	1.367	162,332	0.6%	22,292	7,282	0.8%
30-Apr-92	10,712	(4,828)	240,323	3,934	236,389	1.390	170,064	4.8%	22,814	7,454	2.4%
30-Apr-93	9,807	(4,104)	254,616	3,915	250,701	1.415	177,174	4.2%	22,032	8,042	7.9%
30-Apr-94	11,581	(4,036)	254,075	4,342	249,733	1.418	176,116	-0.6%	22,690	7,762	-3.5%
30-Apr-95	11,595	(3,831)	250,027	5,946	244,081	1.453	167,984	-4.6%	22,505	7,464	-3.8%
30-Apr-96	11,255	(7,122)	252,149	6,754	245,395	1.474	166,482	-0.9%	22,107	7,531	0.9%
30-Apr-97	9,325	2,533	247,540	8,926	238,614	1.499	159,182	-4.4%	21,264	7,486	-0.6%
30-Apr-98	3,315	4,297	253,267	11,489	241,778	1.511	160,012	0.5%	21,629	7,398	-1.2%
30-Apr-99	982	497	271,530	14,277	257,253	1.536	167,482	4.7%	21,754	7,699	4.1%
30-Apr-00	(1,579)	(531)	290,834	17,022	273,812	1.568	174,625	4.3%	22,626	7,718	0.2%
30-Apr-01	3,990	423	312,037	19,834	292,203	1.624	179,928	3.0%	23,350	7,706	-0.2%
30-Apr-02	13,000	7,152	337,817	22,464	315,353	1.652	190,892	6.1%	24,691	7,731	0.3%
30-Apr-03	24,449	7,294	352,543	26,529	326,014	1.701	191,660	0.4%	25,987	7,375	-4.6%
30-Apr-04	41,590	16,154	388,727	32,076	356,651	1.728	206,395	7.7%	27,029	7,636	3.5%
30-Apr-05	45,349	12,144	416,518	31,071	385,447	1.769	217,890	5.6%	27,464	7,934	3.9%
30-Apr-06	47,539	7,311	446,812	34,730	412,082	1.811	227,544	4.4%	27,879	8,162	2.9%
30-Apr-07	45,482	8,953	484,055	37,361	446,694	1.851	241,326	6.1%	28,164	8,569	5.0%
30-Apr-08	55,630	25,465	521,985	43,872	478,113	1.882	254,045	5.3%	27,981	9,079	6.0%
30-Apr-09	47,071	29,007	522,747	48,501	474,246	1.890	250,924	-1.2%	28,197	8,899	-2.0%
30-Apr-10	70,992	31,420	555,479	51,075	504,404	1.924	262,164	4.5%	28,987	9,044	1.6%
30-Apr-11	93,830	33,014	585,915	57,780	528,135	1.987	265,795	1.4%	29,913	8,886	-1.7%
30-Apr-12	115,713	43,947	610,893	60,915	549,978	2.027	271,326	2.1%	30,679	8,844	-0.5%

Campus Master Plan Update

FOR INFORMATION

Western is developing a comprehensive Campus Master Plan Update to the one approved and finalized June, 2007.

Key Objectives for the Campus Master Plan Update

- To extrapolate and revise campus growth projections in terms of the projected demand for university uses of various kinds (for example, the current master plan dated June 2007 indicates Western is unlikely to build any more residences and will maintain undergraduate enrolment at the 2006-07 level).
- To recognize and incorporate in the updated campus master plan:
 - those buildings under construction and planned for both on- and off-campus,
 - for the future removal of temporary buildings or structures,
 - obsolete buildings with no significant cultural value that are expensive to renovate,
 - buildings that do not fit within the campus character.
- To provide guidance on the optimal short, medium, and long-term location of primary university functional precincts, specifically undergraduate teaching, residences, research of various kinds, professional schools and institutes, campus service facilities, sports and recreational facilities, incorporating the role of The Research Park and the Advanced Manufacturing Park;
- To incorporate the outcome of the signage and way-finding strategy that is currently being developed;
- To incorporate the overall parking strategy for the campus that is currently being developed. It will acknowledge the future development potential of many parking areas, appropriately locate parking supply and manage parking demand, allocate parking priorities and review payment options;
- To prepare an overall landscape/open space strategy that provides a conceptual design direction for preserving and enhancing the unique character of the campus landscape while recognizing the environmental features of the Thames River Valley Corridor (on campus areas) as areas of importance form a natural, recreational and aesthetic resource;
- To review the road, pedestrian, bicycle and transit access network in the light of the long-term evolution and expansion of the campus and the surrounding city;

Timing

- Work will commence November 2012, with a projected timeline of seeking Senate and Board approval in the spring of 2013.

Consultants:

- Urban Strategies Inc.

Membership of the Campus Master Plan Committee

John Capone
John Hatch
Kevin Wamsley

Ruban Chelladurai
Gitta Kulczycki
Alan Weedon

Helen Connell
Roy Langille

Susan Grindrod
George Qubty

SCHOLARSHIPS/AWARDS/PRIZES

FOR INFORMATION

1. New Scholarships, Awards and Bursaries

On behalf of the Board of Governors, the Property and Finance Committee approved the following terms of reference for new scholarships, awards, bursaries and prizes.

Joe Shlesinger MBA Leaders Scholarship (School of Graduate and Postdoctoral Studies, Business)
Awarded annually to a full-time student entering the Master of Business Administration program at the Richard Ivey School of Business based on academic achievement and demonstrated community leadership. Candidates may submit applications for this award at the time of application to the MBA Program at the Richard Ivey School of Business. The MBA Scholarship Committee at Ivey, with at least one member of the selection committee holding membership in the School of Graduate and Postdoctoral Studies, will make the final selection of the recipient. The recipient will be notified at the time of acceptance into the program. This award is made possible through the generosity of Joe Shlesinger (MBA'86).

Value: 1 at \$35,000
Effective: May 2013 to April 2018

Sapunjis Family Award (Any Undergraduate, Graduate or Affiliated University College Student (Athletic Award – [Football, Women's Varsity]))

Awarded to a full-time undergraduate or graduate student in any year of any degree program at Western, including the Affiliated University Colleges, who is making a significant contribution as a member of the football team (during odd years) or a women's varsity team (during even years). As per OUA and CIS regulations, an entering student athlete must have a minimum admission average of 80% and a non-entering student must have an in-course average of 70%. Candidates must be in compliance with current OUA and CIS regulations. The Western Athletic Financial Awards Committee will select the recipient based on its evaluation of academic performance/potential (20%) and the written recommendation from the Head Coach assessing athletic performance/potential and team/campus leadership (weighted as 60% and 20% respectively). This award is held with Foundation Western and is made possible through the generosity of Mr. David Sapunjis, BA'95 and Mrs. Jodi Sapunjis, BA '89.

Value: 1 at \$1,000
Effective: 2012-2013 academic year

Barbara McGraw Ontario Graduate Scholarships (School of Graduate and Postdoctoral Studies)

Awarded to full-time graduate students at the master's or doctoral level in any program who are current holders of an Ontario Graduate Scholarship or Queen Elizabeth II Scholarship in Science and Technology, based on academic achievement and research merit. The School of Graduate and Postdoctoral Studies will select the recipients. These scholarships are made possible by a generous gift from the estate of the late Barbara McGraw (BA'51).

Value: 10 at \$5,000*
Effective: May 2012 to April 2013 only

**Ontario Graduate Scholarships (OGS) ensure a 2:1 match through the Provincial Government, increasing the value of each scholarship to \$15,000.*

Leslie Petter Women's Basketball Memorial Award (Any Undergraduate, Graduate or Affiliated University College Student - Athletic Award [Women's Basketball])

Awarded to a full-time undergraduate or graduate student in any year of any degree program at Western, including the Affiliated University Colleges, who is making a significant contribution as a member of the women's basketball team. As per OUA and CIS regulations, an entering student athlete must have a minimum admission average of 80% and a non-entering student must have an in-course average of 70%. Candidates must be in compliance with current OUA and CIS regulations. The Western Athletic Financial Awards Committee will select the recipient based on its evaluation of academic performance/potential (20%) and the written recommendation from the Head Coach assessing athletic performance/potential and team/campus leadership (weighted as 60% and 20% respectively). This award is held with Foundation Western and is made possible through the generosity of the family and friends of the late Leslie Petter (BEd'87, BA'86).

A former Mustangs basketball player, Leslie was a devoted and highly respected teacher, athletic director and coach at Medway High School in Arva, Ontario. In her 22 years as a teacher, she impacted many lives with her dedication, leadership and commitment to education. Leslie passed away from cancer in 2011 at the age of 47.

Value: 1 at \$1,000
Effective: 2012-2013 academic year

2. Scholarship and Award Revisions

On behalf of the Board of Governors, the Property and Finance Committee approved the following revisions to the terms of reference of scholarships, awards, bursaries and prizes:

Robert V. Brouillard MBA Award (School of Graduate and Postdoctoral Studies, Business)

Change in criteria, number and effective date from:

~~Awarded to an MBA student entering each MBA cohort at the Richard Ivey School of Business. The recipient will be a Canadian student with outstanding academic achievement and an interest in international business beyond North America, demonstrated through background, academic, work or volunteer experience, or declared career interest. Applications for these awards must be submitted to the MBA Programs Office upon admittance into the MBA Program at the Richard Ivey School of Business. Final selection of the recipients will be made by the MBA Scholarship Review Committee, with at least one member of the selection committee holding membership in the School of Graduate and Postdoctoral Studies. This Award was established by a generous donation from Robert V. Brouillard, FCMC (MBA'66).~~

Value: 2 at \$10,000

Effective: May 2012 - April 2013 only

To: Awarded **annually to a full-time student entering the Master of Business Administration program** at the Richard Ivey School of Business. The recipient will be a Canadian **citizen** with outstanding academic achievement and an interest in international business beyond North America, demonstrated through background, academic, work or volunteer experience, or a declared career interest. **Candidates may submit applications for this award at the time of application to** the MBA Program at the Richard Ivey School of Business. Final selection of the recipient will be made by the MBA Scholarship Review Committee, with at least one member of the selection committee holding membership in the School of Graduate and Postdoctoral Studies. This award was established by a generous donation from Robert V. Brouillard, FCMC, MBA'66.

Value: 1 at \$10,000

Effective: May 2013 - April 2018

William Quinn HBA Scholarships (Richard Ivey School of Business)

Change in criteria and value from:

Awarded to two students entering the Honors Business Administration program at the Richard Ivey School of Business, based on academic achievement and demonstrated community leadership. The HBA Scholarship Committee of the Richard Ivey School of Business will select the scholarship recipients. These scholarships are made possible by a generous gift from Bill Quinn, HBA '81.

Value: 2 at \$3,000
Effective 2012-2013 academic year

To:

Awarded to two students entering the Honors Business Administration program at the Richard Ivey School of Business, based on academic achievement and demonstrated community leadership. The award will be renewed for year two for students who have successfully completed HBA1. If a student does not meet these requirements, year two students will have an opportunity to apply for this award. The HBA Scholarship Committee of the Richard Ivey School of Business will select the scholarship recipients. These scholarships are made possible by a generous gift from Bill Quinn, HBA '81.

Value: 2 at \$3,000 **continuing**
Effective 2012-2013 academic year

Wilbert (Bill) H. Hopper MBA '59 Scholarship (School of Graduate and Postdoctoral Studies, Business)
Change in effective date from: 2008-2009 to 2012-2013 academic years inclusive
To: May 2013 – April 2014

Dr. Samuel & Vera Kanovsky Scholarship (School of Graduate and Postdoctoral Studies, Business)
Change in Name to: Dr. Samuel & Vera Kanovsky **MBA Leaders Scholarship**
Effective: May 2012

Scotiabank Leadership Award (School of Graduate and Postdoctoral Studies, Business)
Change in Name to: Scotiabank **MBA Leaders Scholarship**
Effective: May 2012

Scotiabank Leadership Award (Richard Ivey School of Business)
Change in Name to: Scotiabank **HBA Leaders Scholarship**
Effective: 2012-2013 academic year

David Leighton Leadership Award (School of Graduate and Postdoctoral Studies, Business)
Change in Name to: David Leighton **MBA Leaders Scholarship**
Effective: May 2012

Jessica Jean Campbell Coulson Award (School of Graduate and Postdoctoral Studies, Education)
Change in value from: 1 at \$1,000
Change to: 1 at \$1,200
Effective: May 2012

Madeline Lennon Essay Award (Faculty of Arts and Humanities)
Change in value from: 1 at \$800
Change to: 1 at \$1,000
Effective: 2012-2013 academic year (value to be reviewed thereafter)

McArdle-MacKinnon 125th Anniversary Alumni Award (Faculty of Law)
Change in value from: 1 at \$900
Change to: 1 at \$1,000
Effective: 2012-2013 academic year

Baldwin Family Scholarships in Honors History (Faculty of Social Science)
Change in criteria from:

Awarded to two students entering the second year of an Honors Bachelor degree with either an Honors Specialization in History or a double Major including History who have achieved an average of at least 80% in their first year and who will not be recipients of any other scholarship of \$1,000 or above. These scholarships were established through Foundation Western by Mr. B. I. and Lorna Baldwin, and Mr. W. C. P. and Lucille Baldwin.

To:

Awarded to two students entering the second year of an Honors Bachelor degree with either an Honors Specialization in History or a double Major including History who have achieved an average of at least 80% in their first year and who will not be recipients of any other scholarship of **\$3,000** or above. These scholarships were established with Foundation Western by Mr. B. I. and Lorna Baldwin, and Mr. W. C. P. and Lucille Baldwin.

Effective: 2012-2013 academic year

Edward Barrow and Ida Hodgins Battle Scholarships (Faculty of Science, Biology)

Change in criteria from:

Awarded to the male student and female student registered in the third year of the BSc Biology program who ranked highest in courses offered by the Department of Zoology during the second year of the BSc Biology program and who are registered in two or more courses offered by the Department of Zoology.

To:

Awarded to the male student and female student registered in the third year of the BSc Biology program who ranked highest in courses offered by the Department of **Biology** during the second year of the BSc Biology program and who are registered in two or more courses offered by the Department of Biology.

Effective: 2012-2013 academic year

Helen I. Battle Medal and Scholarship in Zoology (Faculty of Science, Biology)

Change in criteria and value from:

Awarded to a student graduating in the Honors Zoology program. Endowed by Dr. Helen I. Battle.

Value: Gold Medal and \$500

To:

Awarded to a student graduating in the Honors **Biology** program. Endowed by Dr. Helen I. Battle.

Value: Gold Medal and \$1,000

Effective: 2012-2013 academic year

Helen I. Battle Scholarships (Faculty of Science, Biology)

Change in criteria and value from:

Awarded to the highest and second highest ranking student in the third year of the BSc Biology program who are registered in the fourth year of the honors Zoology program. (Endowed by Biology graduates and colleagues.)

Value: 1 @ \$1,000 and 1 @ \$1,200

To:

Awarded to the highest and second highest ranking student in the third year of the BSc Biology program who are registered in the fourth year of the Honors **Biology** Program. This scholarship was endowed by Biology graduates and colleagues.

Value: 1 @ 2,500 + 1 @ \$2,000

Effective: 2012-2013 academic year

Albert O. Jeffery Scholarship in Zoology (Faculty of Science, Biology)

Change in criteria, name and value from:

Awarded to the highest-ranking student in the second year of the BSc Biology program registering for two or more full courses offered by the Department of Zoology in the third year of the program.

Value: 1 at \$500

To:

Albert O. Jeffery Scholarship in Biology

Awarded to the highest-ranking student in the second year of the BSc Biology program registering for two or more full courses offered by the Department of **Biology** in the third year of the program.

Value: 1 at \$800

Effective: 2012-2013 academic year

Albert O. Jeffery Scholarship in Honors Zoology (Faculty of Science, Biology)

Change in criteria , name and value from:

Awarded to the student registered in the fourth year of the honors Zoology program who ranked highest in the courses offered by the Department of Zoology during the third year of the BSc Biology program.

Value: 1 at \$500

To:

Albert O. Jeffery Scholarship in Honors Biology

Awarded to the student registered in the fourth year of the Honors Biology Program who ranked highest in the courses offered by the Department of **Biology** during the third year of the BSc Biology program.

Value: 1 at \$800

Effective: 2012-2013 academic year

Albert O. Jeffery Scholarship in Honors Plant Sciences (Faculty of Science, Biology)

Change in criteria, name and value from:

Awarded to the highest-ranking student based on principle courses in the third year of the BSc Biology program who is registered for the honors program in the Department of Plant Sciences.

Value: 1 at \$500

To:

Albert O. Jeffery Scholarship in Honors Genetics

Awarded to the highest-ranking student based on principle courses in the third year of the BSc Genetics program (any module) who is registered for the honors program in the Department of **Biology**.

Value: 1 at \$800

Effective: 2012-2013 academic year

Albert O. Jeffery Scholarship in Plant Sciences (Faculty of Science, Biology)

Change in criteria, name and value from:

Awarded to the highest ranking student, based on principle courses taken, entering third year of the BSc ~~Biology, or BSc Biology and Environmental Science Program~~ who is registered for a minimum of one full course or equivalent from those offered solely by the Department of Plant Sciences.

Value: 1 at \$500

To:

Albert O. Jeffery Scholarship in Genetics

Awarded to the highest ranking student, based on principle courses taken, entering third year of the BSc **Genetics Program (any module)** who is registered for a minimum of one full course or equivalent from those offered solely by the Department of Biology.

Value: 1 at \$800

Effective: 2012-2013 academic year

Albert O. Jeffery Scholarship in Mathematics (Faculty of Science, Mathematics)

Change in Value from: 1 at \$500

To: 1 at \$800

Effective: 2012-2013 academic year

Albert O. Jeffery Scholarship in Applied Mathematics (Faculty of Science, Applied Mathematics)

Change in Value from: 1 at \$500

To: 1 at \$800

Effective: 2012-2013 academic year

TD Bank Financial Group Bursaries (Any Undergraduate Program, excluding Business)

Change in Name to TD Bursary

Effective: 2012-2013 academic year

TD Bank Financial Group HBA Bursaries (Richard Ivey School of Business)

Change in Name to TD HBA Bursary

Effective: 2012-2013 academic year

TD Bank Financial Group MBA Bursaries (School of Graduate and Postdoctoral Studies, Business)

Change in Name to TD MBA Bursary

Effective: 2012-2013 academic year

TD Bank Financial Group OSOTF Bursaries (Any Undergraduate Program)

Change in Name to TD OSOTF Bursary

Effective: 2012-2013 academic year

TD Bank Financial Group OSOTF HBA Bursary (Richard Ivey School of Business)

Change in Name to **TD OSOTF HBA Bursary**

Effective: 2012-2013 academic year

TD Bank Financial Group OSOTF MBA Bursary (School of Graduate and Postdoctoral Studies, Business)

Change in Name to **TD OSOTF MBA Bursary**

Effective: 2012-2013 academic year

TD Bank Financial Group Scholarships in Computer Science (Faculty of Science, Computer Science)

Change in Name and Criteria from:

Awarded annually to full-time undergraduate students entering first year in the Faculty of Science who intend to register in the Computer Science program in year 2, achieve an 85% admission average and demonstrate financial need. These scholarships will continue until the recipients complete their programs in Computer Science, as long as the recipients maintain ~~an 80% average~~, a full-time course load and demonstrate financial need each year. If a recipient fails to meet these requirements, another student from the same year will be selected based on the criteria stated herein. These scholarships were made possible by a generous donation from the TD Bank Financial Group.

To:

TD Scholarship in Computer Science

Awarded annually to full-time undergraduate students entering first year in the Faculty of Science who intend to register in the Computer Science program in year 2, achieve an 85% admission average and demonstrate financial need. These scholarships will continue until the recipients complete their programs in Computer Science, as long as the recipients maintain **a 75% average**, a full-time course load and demonstrate financial need each year. If a recipient fails to meet these requirements, another student from the same year will be selected based on the criteria stated herein. These scholarships were made possible by a generous donation from the TD Bank Financial Group.

Effective: 2012-2013 academic year

TD Bank Financial Group Scholarships in Engineering (Faculty of Engineering)

Change in Name to: TD Scholarship in Engineering

Effective: 2012-2013 academic year

TD Bank Financial Group Scholarships in Information and Media Studies (Faculty of Information And Media Studies)

Change in Name to: TD Scholarship in Information and Media Studies

Effective: 2012-2013 academic year

TD Bank Financial Group Women in Management MBA Awards (School of Graduate and Postdoctoral Studies, Business)

Change in Name to: TD Women in Management MBA Award

Effective: May 2012

TD Bank Financial Group/William T. Brock Bursaries (Faculty of Social Science)

Change in Name to: TD/William T. Brock Bursary

Effective: 2012-2013 academic year

Sarah J. Shorten UWO Faculty Association Scholarship (Faculty of Arts and Humanities, Philosophy)

Change in value from: 1 at \$900

To: 1 at \$1,000

Effective: 2012-2013 academic year

UWO Faculty Association President's Scholarship (School of Graduate and Postdoctoral Studies, or Any Undergraduate Program)

Change in value from: 1 at \$900

To: 1 at \$1,000

Effective: 2012-2013 academic year

Parent's Fund Award in the Faculty of Science (Faculty of Science)

Change in value from: 1 at \$600

To: 1 at \$500

Effective: 2012-2013 academic year

Parent's Fund Award in the Faculty of Music (Faculty of Music)

Change in value from: 1 at \$600

To: 1 at \$500

Effective: 2012-2013 academic year

Parent's Fund Award in the Faculty of Social Science (Faculty of Social Science)

Change in value from: 1 at \$600

To: 1 at \$500

Effective: 2012-2013 academic year

Parent's Fund Award in the Faculty of Engineering (Faculty of Engineering)

Change in value from: 1 at \$600

To: 1 at \$500

Effective: 2012-2013 academic year

Air Ontario Bob Nyman Scholarship (Faculty of Social Science, MOS)

Change in value from: 1 at \$500

To: 1 at \$300

Effective: 2012-2013 academic year

Hon. Edward Blake Scholarship for Second Year Economics (Faculty of Social Science, Economics)

Change in value from 1 at \$200

To: 1 at \$150

Effective: 2012-2013 academic year

Hon. Edward Blake Scholarship for Third Year Economics (Faculty of Social Science, Economics)

Change in value from 1 at \$200

To: 1 at \$150

Effective: 2012-2013 academic year

Walter J. Koenig Scholarships (Faculty of Social Science, Economics)

Change in Value from: 2 at \$800

To: 2 at \$700

Effective: 2012-2013 academic year

Jake Greydanus Applied Mathematics Prize (Faculty of Science, Applied Mathematics)

Change in Value from: 1 at \$800

To: 1 at \$650

Effective: 2012-2013 academic year

Laurene Paterson Estate Scholarships (Faculty of Science)

Change in Value from: 47 at \$2,000

To: 47 at \$1,600

Effective: 2012-2013 academic year

Jeannetta Marion Burroughs String Award (Don Wright Faculty of Music)

Change in Value from 1 at \$1,700

To: 1 at \$1,300

Effective: 2012-2013 academic year

Rosie Robinow Award (Don Wright Faculty of Music)

Change in Value from: Up to \$1,400 for 1 or more awards

To: Up to \$1,300 for 1 or more awards

Effective: 2012-2013 academic year

William Ferguson Tamblyn Scholarships (Faculty of Arts and Humanities, English)

Change in Value from: 1 at \$2,000 and 1 at \$1,500

To: 2 at \$1,500

Effective: 2012-2013 academic year

William Ware Tamblyn Scholarship (Faculty of Arts and Humanities, English)

Change in Value from: 1 at \$2,000

To: 1 at \$1,500

Effective: 2012-2013 academic year

Martin J. Bass - P.S.I. Foundation OSOTF Awards (School of Graduate and Postdoctoral Studies, Medicine)

Change in number and value from: 2 at \$2,150

To: 3 at \$2,000

Effective: May 2012

Dr. Sherwood Fox Organ Awards (Don Wright Faculty of Music)

Change in Name and Criteria from:

Awarded to three students (one entering second year, one entering third year and one entering fourth year) of the Faculty of Music, specializing in organ, who established the most outstanding record in performance and academic work in the previous year. These awards were established through Foundation Western.

To:

Dr. Sherwood Fox Music Award

Awarded to three students (one entering second year, one entering third year and one entering fourth year) of the Faculty of Music, **with preference given to an organ student**, who established the most outstanding record in performance and academic work in the previous year. These awards were established with Foundation Western.

Effective: 2012-2013 academic year

Betty Spencer Ontario Graduate Scholarship (School of Graduate and Postdoctoral Studies, Science)

Change in number from: 2 at \$5,000*

To: 1 at \$5,000*

** each Ontario Graduate Scholarship (OGS) funding ensures a 2:1 match through the Provincial Government, increasing the value of the scholarship to \$15,000 each*
Effective: May 2012

London and District Academy of Medicine Memorial Awards (Schulich School of Medicine & Dentistry, Dentistry)

Change in value from: 7 at \$750

To: 6 at \$1,000

Effective: 2012-2013 academic year

SC Johnson Scholarship (Richard Ivey School of Business)

Change in effective date from: 2010-2011 academic year

To: 2012-2013 and 2013-2014 academic years only; continuing recipients will be awarded, no new recipients will be selected.

MBA 83 Award (School of Graduate and Postdoctoral Studies, Business)

Change in criteria and effective date from:

~~A continuing award for MBA students at the Richard Ivey School of Business. This award is targeted at recruiting top students into the program. Criteria include financial need and demonstrated success academically and professionally. The recipient must also have a stated intention of pursuing a career in Canada and/or a career in Canadian-owned companies outside of Canada. Students must apply through the MBA Program Services office at Ivey by April 1.~~

Effective: May 2009 to April 2014

To: **Awarded to an MBA student** at the Richard Ivey School of Business **who has demonstrated success academically and professionally, and with financial need.** The recipient must also have stated **an intention to pursue** a career in Canada, or a career **with** Canadian-owned companies outside of Canada. **Candidates must submit applications for this award at the time of application to the MBA Program at Ivey. The MBA Scholarship Review Committee, with at least one member of the selection committee holding membership with the School of Graduate and Postdoctoral Studies at Ivey, will make the final selection of the recipient. Recipients will be notified at the time of acceptance into the program. This award is made possible through the generosity of the MBA Class of 1983.**

Effective: May 2012 to April 2014

William L. Jeffries HBA Award (Richard Ivey School of Business)

Change in criteria from:

~~Awarded on the basis of financial need to a student entering the first year of the Academic Excellence Opportunity program. The award will be renewed for Year Two of the Academic Excellence Opportunity Program if the student maintains the defined criteria in AEO Year One. If a student does not meet the requirements, other Year Two students will have an opportunity to apply for this award. This award will be given to students who have graduated from a high school in the City of London or Middlesex County. Eligibility will be determined by financial need, as assessed by Student Financial Services, Office of the Registrar. Final selection will be made by the Awards Committee of the Richard Ivey School of Business. Application forms for these awards are available from the Admissions Office of the Richard Ivey School of Business. The award was established through Foundation Western by a generous donation from Jean Jeffries in memory of her husband William L. Jeffries (HBA 4932).~~

To: Awarded on the basis of financial need to a student entering the first year of the **Advanced Entry Opportunity (AEO)** Program. The award will be renewed for Year Two of the **AEO** Program if the student maintains the defined criteria in AEO Year One. If a student does not meet the requirements, **a second-year student in the AEO Program will be selected.** This award will be given to students who have graduated from a high school in the City of London or Middlesex County. Eligibility will be determined by financial need, as assessed by Student Financial Services, Office of the Registrar. **Financial assistance**

application is available from the Office of the Registrar's website and must be submitted by September 30. Final selection will be made by the **Scholarship Review** Committee of the Richard Ivey School of Business. The award was established **with** Foundation Western by a generous donation from Jean Jeffries in memory of her husband William L. Jeffries (HBA'32).
Effective: 2012-2013 academic year

D. Jane Riddell Student Athlete Award (Any Undergraduate, Graduate or Affiliated University College Student - Athletic Award [Women's Basketball])

Change in criteria from:

Awarded to a full-time female undergraduate student in any ~~year of any degree program~~ who demonstrates athletic leadership skills as a member of ~~a varsity team~~. Candidates in first year must have a minimum 80% admission average and candidates in second year or higher must have a minimum 70% average. ~~The School of Kinesiology in the Faculty of Health Sciences will select the recipient.~~ Candidates who are varsity athletes must be in compliance with current OUA and CIS regulations. This award was established by D. Jane Riddell (MA'85, Physical Education) ~~through~~ Foundation Western.

To: Awarded to a full-time female undergraduate **or graduate** student in any program **including the Affiliated University Colleges** who demonstrates athletic leadership skills as a member of **the women's basketball** team. Candidates in first year must have a minimum 80% admission average and candidates in second year or higher must have a minimum 70% average. Candidates who are varsity athletes must be in compliance with current OUA and CIS regulations. **The Western Athletic Financial Awards Committee will select the recipient based on its evaluation of academic performance/potential (20%) and the written recommendation from the Head Coach assessing athletic performance/potential and team/campus leadership (weighted as 60% and 20% respectively).** This award was established by D. Jane Riddell (MA'85, Physical Education) **with** Foundation Western.
Effective: 2012-2013 academic year

William and Cecilia Davies Bursary (Faculties of Engineering and Science, Don Wright Faculty of Music)

Change in criteria and value from:

Awarded to a full-time undergraduate student in the Faculty of Engineering, the Don Wright Faculty of Music, or the Faculty of Science who demonstrates financial need. The Office of the Registrar will select the recipient. In ~~2009-2010~~, the bursary will be awarded to a student from the Faculty of Engineering; in ~~2010-2011~~, to a student in the Don Wright Faculty of Music; and, in ~~2011-2012~~, to a student in the Faculty of Science. If for any reason there are no qualified candidates for the faculty specified in a given year, students in the other two faculties will be eligible. This bursary was established by a gift from Mr. William Davies, BA'56 Honors Business, and Mrs. Cecilia Davies, ~~through~~ Foundation Western.

This award is offered through the Ontario Trust for Student Support (OTSS) program, and recipients must meet Ontario residency requirements.

Value: 1 at ~~\$1,200~~

To: Awarded to a full-time undergraduate student in the Faculty of Engineering, the Don Wright Faculty of Music, or the Faculty of Science who demonstrates financial need. **Online financial need assessment applications are available from the Office of the Registrar's website and must be submitted by October 31.** The Office of the Registrar will select the recipient. In **2012-2013**, the bursary will be awarded to a student from the Faculty of Engineering; in **2013-2014**, to a student in the Don Wright Faculty of Music; and, in **2014-2015**, to a student in the Faculty of Science. If for any reason there are no qualified candidates for the faculty specified in a given year, students in the other two faculties will be eligible. This bursary was established by a gift from Mr. William Davies, BA'56 Honors Business, and Mrs. Cecilia Davies, **with** Foundation Western.

This award is offered through the Ontario Trust for Student Support (OTSS) program, and recipients must meet Ontario residency requirements.

Value: 1 at **\$1,500**

Effective: 2012-2013 to 2014-2015 academic years inclusive (award value will be reviewed after this time)

James Emerson Miles Admission Scholarship (Faculty of Engineering)

Change in value from: 1 at \$6,700

To: 1 at \$5,000

Effective: 2012-2013 academic year

Ron Yamada Ontario Graduate Scholarships (School of Graduate and Postdoctoral Studies, Engineering)

Change in value from: 3 at \$5,000*

*each *Ontario Graduate Scholarship (OGS) funding ensures a 2:1 match through the Provincial Government, increasing the value of the scholarship to \$15,000 each.*

To: 2 at \$5,000*

*Each *Ontario Graduate Scholarship (OGS) funding ensures a 2:1 match through the Provincial Government, increasing the value of the scholarship to \$15,000 each.*

Effective: May 2012

Betty Spencer Ontario Graduate Scholarship (School of Graduate and Postdoctoral Studies, Science)

Change in value from: 2 at \$5,000*

*each *Ontario Graduate Scholarship (OGS) funding ensures a 2:1 match through the Provincial Government, increasing the value of the scholarship to \$15,000 each.)*

To: 1 at \$5,000*

*Each *Ontario Graduate Scholarship (OGS) funding ensures a 2:1 match through the Provincial Government, increasing the value of the scholarship to \$15,000 each.)*

Effective: May 2012

Alzheimer Society of London Middlesex Graduate Awards in Alzheimer Related Research (School of Graduate and Postdoctoral Studies)

Change in name, criteria and effective date from:

Awarded to two full-time graduate students in any Faculty who are conducting research related to Alzheimer Disease and related dementias. Such research should be in support of projects that will significantly advance scientific and clinical knowledge, and improve the quality of life for both those with the disease and their caregivers. Selection will be based on the applicant's potential to contribute to knowledge about Alzheimer's disease and related dementias; the quality and feasibility of the applicant's research proposal; the capability of the applicant to undertake the research; and, recommendations by a faculty member who will supervise the applicant. The complete Call for Applicants and application form may be obtained through the School of Graduate and Postdoctoral Studies Web site, "grad.uwo.ca." The recipients will be selected by a committee of Western faculty leaders involved with graduate research, coordinated by the Director of Administration in the School of Graduate and Postdoctoral Studies. This scholarship may not be held concurrently with another major external award, or with any other awards that total more than \$10,000 CAD per year. Recipients may receive other compensation in keeping with University guidelines for graduate students regarding employment.

Value: 2 at \$12,500 (to be paid in 2 installments of \$6,250 each, with 2nd installment to be made after submission of progress report in January)

Effective Date: May 1, 2011 to April 30, 2012

To: Two separate Scholarships

Alzheimer Foundation London and Middlesex Doctoral Scholarship in Alzheimer-Related Research

Awarded to one full-time PhD student who is conducting research related to Alzheimer's disease and related dementias in any Faculty. This research will support projects that will significantly advance scientific and clinical knowledge and improve the quality of life for both those with the disease and their caregivers. Selection will be based on the applicant's potential to contribute to knowledge about Alzheimer's disease and related dementias; the quality and feasibility of the applicant's research

proposal; the capability of the applicant to undertake the research and recommendations by a faculty member who will supervise the applicant.

Application forms can be obtained through the School of Graduate and Postdoctoral Studies Web site: grad.uwo.ca. The recipient will be selected by a committee of Western faculty leaders involved with graduate research, coordinated by the Director of Administration in the School of Graduate and Postdoctoral Studies, or designate. Recipients may receive other awards and employment compensation in keeping with University guidelines for graduate students.

The recipient will continue to receive the scholarship for a second year, provided that the student completes a satisfactory progress report.

Value: 1 award at \$12,500, continuing for two years, for a total of \$25,000 (to be paid in two installments of \$12,500 each, with the second installment to be made after submission of a satisfactory progress report in August 2013)
Effective: May 1, 2012 to April 30, 2014

Alzheimer Foundation London and Middlesex Masters Scholarship in Alzheimer-Related Research

Awarded to a full-time master's student who is conducting research related to Alzheimer's disease and related dementias in any Faculty. This research will support projects that will significantly advance scientific and clinical knowledge and improve the quality of life for both those with the disease and their caregivers. Selection will be based on the applicant's potential to contribute to knowledge about Alzheimer's disease and related dementias; the quality and feasibility of the applicant's research proposal; the capability of the applicant to undertake the research and recommendations by a faculty member who will supervise the applicant.

Application forms can be obtained through the School of Graduate and Postdoctoral Studies Web site: grad.uwo.ca. The recipient will be selected by a committee of Western faculty leaders involved with graduate research, coordinated by the Director of Administration in the School of Graduate and Postdoctoral Studies, or designate. Recipients may receive other awards and employment compensation in keeping with University guidelines for graduate students.

Value: 1 award at \$12,500 (to be paid in two installments of \$6,250 each, with the second installment to be made after submission of a satisfactory progress report in January)
Effective: May 1, 2012 to April 30, 2014

Faculty Dependents' Tuition Scholarship Plan for Non-Western Students (Any Undergraduate Faculty, School of Graduate and Postdoctoral Studies)

Change in value and criteria from:

~~Dependents~~ of Faculty members holding a regular full-time continuing appointment are eligible to receive the scholarship for university courses taken for credit towards a degree (undergraduate or graduate) at Western. Qualified students ~~are eligible to~~ receive the scholarship for a maximum of four years full-time or equivalent. Applications can be downloaded from the Office of the Registrar web site. ~~Submit application to Student Financial Services Rm. 180 SLB by June 30th.~~

Value: ~~maximum \$3,100~~

To: **Spouses and dependent children** of Faculty members **who** hold a regular full-time continuing appointment are eligible to receive the **tuition** scholarship for university courses taken for credit towards a degree (undergraduate, **professional** or graduate) at **a recognized university, national or international. Non-Canadian universities must be recognized as having university standing by Western Admissions. Tuition scholarships are available for courses in a formal program of study leading to a degree or diploma at a community college that is a member of the Association of Canadian Community Colleges.** Qualified students **may** receive the scholarship for a maximum of four years full-time **20.0 courses** or equivalent (~~20 full-time courses~~). **Upgrading courses at the Faculty of Education are not eligible.** Applications can be downloaded from the Office of the Registrar's Web site **and submitted** to Student Financial Services by June 30.

Value: **up to \$3,600**

Faculty Dependents' Tuition Scholarship Plan for Western Students (Any Undergraduate Faculty, School of Graduate and Postdoctoral Studies)

Change in value and criteria from:

~~Dependents~~ of Faculty members holding a regular full-time continuing appointment are eligible to receive the scholarship for university courses taken for credit towards a degree (undergraduate or graduate) at ~~Western~~. Qualified students ~~are eligible to receive the scholarship for a maximum of four years full-time or equivalent. Applications can be downloaded from the Office of the Registrar web site. Submit application to Student Financial Services Rm. 180 SLB by June 30th.~~

Value: ~~maximum \$3,100~~

To: **Spouses and dependent children** of Faculty members **who** hold a regular full-time continuing appointment are eligible to receive the **tuition** scholarship for university courses taken for credit towards a degree (undergraduate, **professional** or graduate) at **a recognized university, national or international. Non-Canadian universities must be recognized as having university standing by Western Admissions. Tuition scholarships are available for courses in a formal program of study leading to a degree or diploma at a community college that is a member of the Association of Canadian Community Colleges.** Qualified students **may** receive the scholarship for a maximum of four years full-time **20.0 courses** or equivalent (~~20 full-time courses~~). **Upgrading courses at the Faculty of Education are not eligible.** Applications can be downloaded from the Office of the Registrar's **Web site and submitted** to Student Financial Services by June 30.

Value: **up to \$3,600**

Librarians/Archivists Dependents' Tuition Scholarship Plan (Any Undergraduate Faculty, School of Graduate and Postdoctoral Studies)

Change in value and criteria from:

~~Dependent children of current, regular full-time Librarian/Archivist employees~~ are eligible to receive tuition scholarships for ~~full-time studies~~ taken for credit towards a degree (undergraduate or graduate) ~~from the University of Western Ontario. The scholarship will be offered to qualified students for the equivalent of a maximum of four years of full-time registration, not necessarily consecutive, at The University of Western Ontario. Students registered at colleges affiliated with the University are eligible for this scholarship. Applications can be downloaded from the Office of the Registrar web site. Submit application to Student Financial Services Rm. 180 SLB by June 30th.~~

Value: \$1200.00

To: **Spouses and dependent children of Librarians and Archivists who hold probationary or continuing appointments** are eligible to receive **the** tuition scholarship for **university courses** taken for credit towards a degree (undergraduate, **professional** or graduate) **at a recognized university, national or international. Non-Canadian universities must be recognized as having university standing by Western Admissions. Tuition scholarships are available for courses in a formal program of study leading to a degree or diploma at a community college that is a member of the Association of Canadian Community Colleges.** Qualified students **may receive the scholarship for** a maximum of four years full-time or equivalent (20 full-time courses). Upgrading courses at the Faculty of Education are not eligible. Applications can be downloaded from the Office of the Registrar web site and submitted to Student Financial Services by June 30th.

Value: up to \$3,600

Leonardo Suarez Memorial Scholarships (Faculty of Health Sciences)

Change in name and criteria from:

Awarded to two full-time undergraduate students, in any year beyond year one, of the Nursing program. If possible, one male and one female student will be selected. Selection will be based on academic achievement (minimum 80% average from previous year) and involvement in extracurricular activities in the School of Nursing or the community. Applications are available from the School of Nursing and must be completed by January 30. The Scholarships and Awards Committee in the School of Nursing will

choose the recipients. These scholarships were established by Felicitas, Kevin and Brian Suarez in loving memory of a wonderful husband and father, through Foundation Western.

Change to: Leonardo Suarez Memorial Scholarship

Awarded annually to a full-time undergraduate student, in any year beyond year one, of the Nursing program. Selection will be based on academic achievement (minimum 80% average from previous year) and involvement in extracurricular activities in the School of Nursing or the community. Applications are available from the School of Nursing and must be completed by January 30. The Scholarships and Awards Committee in the School of Nursing will choose the recipients. These scholarships were established with Foundation Western by Felicitas, Kevin and Brian Suarez in loving memory of a wonderful husband and father.

Effective: 2012-2013 academic year

Sunstar/G-U-M Clinical Proficiency Award (Schulich School of Medicine & Dentistry, Dentistry)

Change in value from:

Value: 1 at \$1,385

To: 1 at \$1,878

Effective: 2012-2013 to 2014-2015 academic years inclusive

FOR INFORMATION ONLY – FUNDED BY OPERATING

Faculty of Arts and Humanities Entrance Scholarship of Excellence (Faculty of Arts and Humanities)

Change in criteria

From:

Awarded to full-time students entering the first year of an undergraduate program in the Faculty of Arts and Humanities. Students must have a minimum final admission average of 87% and be eligible for a University entrance scholarship (Western Scholarship of Distinction, Western Scholarship of Excellence or a Continuing Admission Scholarship). This scholarship will be a supplement to the University entrance scholarship. The Scholarship Committee of the Faculty of Arts and Humanities will select recipients of the award. The number of scholarships will be determined annually by the Dean of the Faculty of Arts and Humanities.

To:

Awarded to full-time students entering the first year of an undergraduate program in the Faculty of Arts and Humanities. Students must have a minimum final admission average of **88%** and be eligible for a University entrance scholarship (Western Scholarship of Distinction, Western Scholarship of Excellence or a Continuing Admission Scholarship). This scholarship will be a supplement to the University entrance scholarship. The Scholarship Committee of the Faculty of Arts and Humanities will select recipients of the award. The number of scholarships will be determined annually by the Dean of the Faculty of Arts and Humanities.

Dean's Entrance Scholarship (Faculty of Arts and Humanities)

Change in criteria

From:

Awarded to full-time students entering the first year of an undergraduate program in the Faculty of Arts and Humanities. Students must have a final admission average between 84% and 86.9%. The Scholarship Committee of the Faculty of Arts and Humanities will select recipients of the award. The number of scholarships will be determined annually by the Dean of the Faculty of Arts and Humanities.

To:

Awarded to full-time students entering the first year of an undergraduate program in the Faculty of Arts and Humanities. Students must have a final admission average between **84% and 87.9%**. The

Scholarship Committee of the Faculty of Arts and Humanities will select recipients of the award. The number of scholarships will be determined annually by the Dean of the Faculty of Arts and Humanities.

Columbia International College Admission Scholarships (Any Undergraduate Faculty)

Change in effective date

From: Effective: 2011-2012 academic year only (funding to be reviewed for 2012-2013)

To: Effective: 2011-2012 academic year

DISCONTINUED AWARDS MAY 2012

Frederick N. Lewis Memorial Prize

Schedule A



TD Waterhouse Resolution for Trading and Signing Authority for Lodges, Societies, Churches, etc.

(Account Number)

UNIVERSITY OF WESTERN ONTARIO (IVEY VALUE INVESTING FUND) (the "Organization")
(Name of Organization)

Incorporated under UWO ACT
(Name of Act)

RESOLVED -

FIRST: That JOHN D IRWIN or GEORGE ANTANASSKOS or _____ (the "Trading Officers") be and they hereby are, and each of them hereby is, authorized and empowered, for and on behalf of the Organization, to establish and maintain a trading account, with TD Waterhouse Canada Inc. (herein called "TD Waterhouse") for the purpose of purchasing, investing in, or otherwise acquiring, selling (including short sales), trading, possessing, transferring, exchanging, pledging, or otherwise disposing of, or realizing upon, and generally dealing in and with any and all forms of securities including, but not limited to, shares, stocks, bonds, debentures, notes, scrip, participation certificates, rights to subscribe, option warrants, certificates of deposit, mortgages, choses in action, evidences of indebtedness, commercial paper, certificates of indebtedness and certificates of interest of any and every kind and nature whatsoever, secured or unsecured, whether represented by trust, participating and/or other certificates or otherwise. The fullest authority at all times with respect to any such commitment or with respect to any transaction deemed by any of the Trading Officers to be proper in connection therewith is hereby conferred, including authority (without limiting the generality of the foregoing) to give written or oral instructions to TD Waterhouse with respect to said transactions.

SECOND: That the _____ or _____ (the "Signing Officers") be and they hereby are, and each of them hereby is, authorized and empowered for and on behalf of the Organization, to borrow money and securities and to borrow such money, securities, from or through TD Waterhouse, and to secure repayment thereof with the property of the Organization; to bind and obligate the Organization to and for the carrying out of any contract, agreement, arrangement, or transaction, which shall be entered into by any Signing Officer for and on behalf of the Organization with or through TD Waterhouse; to pay in cash or by cheques and/or drafts drawn upon the funds of the Organization such sums as may be necessary in connection with the Account; to sign, make, draw, accept, endorse, execute and deliver cheques, promissory notes, bills of exchange, agreements to give security and all agreements (including, not by way of limitation, margin agreements) and instruments obligating the Organization to TD Waterhouse; to deliver securities and/or contracts to TD Waterhouse; to order the transfer or delivery thereof to any other person whomsoever, and/or to order the transfer of record of any securities, or contracts, or titles, to any name selected by any Signing Officer; or otherwise; to endorse any securities and/or contracts in order to pass title thereto; to direct the sale or exercise of any rights with respect to any securities; to sign for the Organization all releases, powers of attorney and/or other documents in connection with any Account, and to agree to any terms or conditions to control any Account; to direct TD Waterhouse to surrender any securities to the proper agent or party for the purpose of effecting any exchange or conversion, or for the purpose of deposit with any protective or similar committee, or otherwise; to accept delivery of any securities and/or contracts; to appoint any other person or persons to do any and all things which any Signing Officer is hereby empowered to do, and generally to do and take all action necessary in connection with the Account, or considered desirable by any Signing Officer with respect thereto.

THIRD: That TD Waterhouse may deal with any and all of the Trading Officers and Signing Officers directly or indirectly by the foregoing resolution empowered, as though it were dealing with the Organization directly.

FOURTH: That the Secretary, or where there is no such officer, the President of the Organization be and is hereby authorized, empowered and directed to certify, TD Waterhouse:

- (a) a true copy of these resolutions;
- (b) specimen signatures of each and every Trading Officer and Signing Officer by these resolutions empowered;

• 2 •

FIFTH: That TD Waterhouse may rely upon any certification given in accordance with these resolutions as continuing in full force and effect unless and until TD Waterhouse shall receive written notice of a change in or the rescission of the authority so evidenced and the dispatch or receipt of any other form of notice shall not constitute a waiver of this provision, and the fact that any person hereby empowered ceases to be an officer of the Organization or becomes an officer under some other title shall not in any way affect the powers hereby conferred. The failure to supply any specimen signature shall not invalidate any transaction if the transaction is in accordance with authority actually granted.

SIXTH: That for the purposes set forth in these Resolutions, the Directors hereby delegate any and all powers which they may have to the Trading Officers and Signing Officers who are herein named or referred to by office or position.

SEVENTH: That the foregoing resolutions and the certificates actually furnished to TD Waterhouse by the Secretary or President of the Organization pursuant thereto, be and they hereby are made irrevocable until written notice of the revocation thereof shall have been received by TD Waterhouse.

CERTIFICATE

I, _____, being the (Secretary/President) of _____
(Name of Organization)
hereby certify that the above resolutions were duly adopted at a meeting of _____
(Name of Organization)
duly held on the _____ day of _____
(Month) (Year)

I further certify that each of the following has been duly elected and is now legally holding the office set opposite his/her name:

_____	President	_____
Name (Please print)		Name (Please print)
_____	Vice-President	_____
Name (Please print)		Name (Please print)
_____	Treasurer	_____
Name (Please print)		Name (Please print)
_____	Secretary	_____
Name (Please print)		Name (Please print)

I further certify that the Organization is duly organized and existing and has the power to take the action called for by the resolutions contained herein.

(Date)

Signature (Secretary / President)

Complete section A or B, as applicable. If Entity does NOT have any Shareholders with greater than 10% interest (including TA/SA), the Pres/Sec. signs here. If Entity DOES have greater 10% Shareholders, leave blank; and proceed to 3rd page.

A. I further certify that no natural person is a beneficial owner, directly or indirectly, of greater than 10% of the Organization.

I further undertake that the Organization will forthwith notify TD Waterhouse, in writing, of any change in natural persons having beneficial ownership, directly or indirectly, of greater than 10% of the Organization.

(Date)

Signature (Secretary / President)

REPORT OF THE SENIOR OPERATIONS COMMITTEE

Contents	Consent Agenda
Special Resolution #6 – Presentations to Retiring Members of the Board – Amendment	Yes
MAPP 1.35 – Non-Discrimination/Harassment Policy – Amendment	Yes
University Discipline Appeals Committee – Membership	Yes
Appointment of Vice-Provost (Graduate & Postdoctoral Studies)	Yes

FOR APPROVAL

1. Special Resolution #6 – Presentation to Retiring Members of the Board - Amendments

Recommended: That *Special Resolution 6* be amended to read as follows:

A member who retires following completion of at least one full membership year of service and who has attended at least 25 percent of the scheduled meetings of the Board shall receive a suitable memento of that service, to be determined by the Senior Operations Committee.

Background:

Special Resolution 6 was originally approved by the Board in 1975. It currently states the following:

A suitably engraved silver tray will be presented to a member in good standing with the University who retires following completion of at least one full membership year of service and who has attended at least 25% of the scheduled meetings of the Board.

While an engraved silver tray might have been an appropriate, and probably common memento of service in 1975, it now seems a very dated gesture. It is suggested that the resolution be revised to allow more flexibility in determining what the parting gift might be. For example, it was noted by a member that the Museum of Ontario Archaeology (which the Board toured in September) sells soapstone carvings made by artists on the Six Nations Reserve. These might be appropriate gifts and purchasing them would put the Board's funds back into a unit supported by the university. Another possibility might be framed prints of campus scenes.

The second proposed change is the removal of the phrase "in good standing with the University." There is no clear understanding of what that means or how and by whom it might be measured beyond the sense of "good academic standing" for student members.

2. **MAPP 1.35 – Non-Discrimination/Harassment Policy**

Recommended: That the Board of Governors approve the proposed revisions to MAPP 1.35 – *Non-Discrimination/Harassment Policy*, shown in **Annex 1**.

Background:

Recently, gender expression and gender identity were added to the list of the prohibited grounds in the Ontario Human Rights Code. The proposed amendments do two things: add the new prohibited grounds to the policy and provide wording that will allow any further grounds added to the Code to be included in the university's policy without having to return to the Board for approval each time.

FOR INFORMATION

3. **Appointments to the University Discipline Appeals Committee**

On behalf of the Board of Governors, the Senior Operations Committee has approved the following additional appointments to the University Discipline Appeals Committee for terms to June 30, 2013

Graduate Students

Heath MacMillan, Biology

Undergraduate Students

Gordon Locke, Medicine & Dentistry

Nicole Pilo, Social Science

The University Discipline Appeals Committee is committee of the Board of Governors charged with hearing final appeals in cases involving non-academic misconduct. Its membership is prescribed by the Code of Student Conduct <http://www.uwo.ca/univsec/board/code.pdf>

4. **Vice-Provost (Graduate & Postdoctoral Studies)**

In accordance with paragraph 3 of Special Resolution 1-M (the terms of reference of the committee) and on behalf of the Board of Governors, the Senior Operations Committee approved the reappointment of Dr. Linda Miller as Vice-Provost (Graduate & Postdoctoral Studies) for the term June 1, 2014 to June 30, 2019.

WESTERN
MANUAL of ADMINISTRATIVE POLICIES and PROCEDURES

1.35 Non-Discrimination/Harassment Policy

Policy Category:	General
Subject:	Discrimination & Harassment
Approving Authority:	Board of Governors
Responsible Officer:	Vice-President (Resources & Operations)
Responsible Office:	Equity & Human Rights Services
Related Procedures:	Procedures - MAPP 1.35
Related University Policies:	Safe Campus Policy (MAPP 1.46)
Effective Date:	December 1, 2011
Revised:	April 27, 2005, November 24, 2011

I PURPOSE

1. The purpose of this Policy is to confirm the University's commitment to providing a learning and working environment free of harassment and discrimination.
2. This policy is in accordance with all applicable federal and provincial legislation related to harassment and discrimination such as the *Ontario Human Rights Code*. It also applies to those situations defined as Workplace Harassment under the *Ontario Health and Safety Act*. The [Safe Campus Policy \(MAPP 1.46\)](#) should be consulted whenever there are concerns about violence in the workplace or learning environment.

II DEFINITIONS

Discrimination

1. *Discrimination* means a distinction, intentional or not, based on a prohibited ground, which has the effect of imposing burdens, obligations, or disadvantages on an individual or group not imposed on others, or which withholds or limits access to opportunities, benefits, and advantages available to other members of society.

Prohibited ground means

-

- Any of the following: race, ancestry, place of origin, colour, ethnic origin, citizenship, creed, sex, sexual orientation, gender identity, gender expression, age, record of offences, marital status, family status or disability.
 - Such additional grounds as may be designated as prohibited grounds in the Ontario Human Rights Code from time to time.
2. ~~*Prohibited grounds* means any of the following: race, ancestry, place of origin, colour, ethnic origin, citizenship, creed, sex, sexual orientation, age, record of offences, marital status, family status or disability.~~

Harassment

3. *Harassment* means engaging in a course of vexatious comment or conduct that is known or ought reasonably to be known to be unwelcome. Harassment may be related to one or more of the prohibited grounds defined at section 2, and/or may include conduct and/or behaviours which create an intimidating, demeaning or hostile working or academic environment whether or not it is based on the prohibited grounds.
4. *Sexual Harassment* includes comment or conduct of a sexual nature such as, but not limited to, sexual assault, verbal abuse or threats, unwelcome sexual invitations or requests, demands for sexual favours or unwelcome innuendo or taunting about a person's body, physical appearance or sexual orientation and includes situations where:
- a. submission to such conduct is made either explicitly or implicitly a condition of an individual's employment, academic status or academic accreditation; or
 - b. submission to such conduct by an individual is used as a basis for employment, or for academic performance, status or accreditation decisions affecting such individual; or
 - c. such conduct interferes with an individual's work or academic performance; or
 - d. such conduct creates an intimidating, hostile or offensive working or academic environment.
5. This definition of sexual harassment is not intended to inhibit bona fide academic discussion or interactions or relationships based on mutual free consent or normal social conduct between individuals.
6. *Personal Harassment* means conduct and/or behaviour which create an intimidating, demeaning or hostile working or academic environment whether or not it is based on the prohibited grounds defined in the *Human Rights Code*. For the purposes of this Policy, *Personal Harassment* includes *Workplace Harassment* as defined under the *Occupational Health and Safety Act* as engaging in a course of vexatious comment or conduct against a worker in a workplace that is known or ought reasonably known to be unwelcome.
7. Harassment does not include:
- a. interpersonal conflict or disagreement;
 - b. the proper exercise of performance evaluation, appropriate managerial direction, delegation, performance management or attendance management or
 - c. the exercise of expression protected by the UWOFA Article *Academic Freedom*.

VIII. POLICY

1. Western is committed to providing a working and learning environment that allows for full and free participation of all members of the community. Discrimination against and/or harassment of individuals, whether as members of any recognizable group or otherwise, undermine these objectives and violate the fundamental rights, personal dignity and integrity of individuals or groups of individuals.
2. This policy applies to all members of the University community in their interaction with other members of the University community. The University community includes employees, students, volunteers and other individuals who work or study at the University.
3. All members of the University community share a responsibility to provide and maintain an environment free of discrimination and harassment.
4. Clause III.1 (above) does not apply to any action or decision based on a *bona fide* requirement or qualification.
5. For the purposes of determining what limitations may reasonably be imposed in good faith to meet the objective employment or academic requirements, every individual is entitled to individual consideration.
6. This Policy shall not infringe upon the implementation of special programs designed to relieve hardship or economic disadvantage or to assist disadvantaged persons or groups to achieve or attempt to achieve equal opportunity.
7. Harassment and discrimination constitute serious offences that may be cause for disciplinary sanctions by the University.
8. Individuals may seek assistance from the Human Rights Tribunal of Ontario (HRTO) even when taking steps under this Policy. If the circumstances giving rise to a complaint under this policy independently give rise to proceedings before Human Rights Tribunal of Ontario or to proceedings in the courts or to the laying of a criminal charge, then any action under this policy may be suspended until such proceedings are concluded.
9. Any reprisals, retaliation or threats of reprisals or retaliation for pursuing rights under this policy, for having participated in its procedures, or for acting in any role under this policy and its procedures are prohibited.
10. The University may take disciplinary action against those who make allegations of harassment or discrimination which are reckless, malicious or not in good faith.
11. The University shall not be restricted in fulfilling its responsibilities under the *Human Rights Code*, *Occupational Health and Safety Act*, or other applicable legislation, or where the safety of individuals is threatened or compromised.
12. Equity & Human Rights Services shall make an annual report to the President with a copy to the University community and the Audit Committee of the Board.

REPORT OF THE FUND RAISING AND DONOR RELATIONS COMMITTEE

Contents	Consent Agenda
General Awareness and Campaign Advertising	No
Quarterly Fund Raising Report to Board	Yes

FOR INFORMATION

1. **General Awareness and Campaign Advertising**

See [Annex 1](#).

2. **Quarterly Fund Raising Report to Board**

See [Annex 2](#).



MEMORANDUM

To: Fund Raising and Donor Relations Committee, Board of Governors, Foundation Western Board, Alumni Association Board, Campaign Cabinet

From: Helen Connell, Associate Vice-President (Communications & Public Affairs)

Date: November 6, 2012

Re: Brand Awareness Campaign

I want to inform you that Western launched a brand awareness advertising campaign in the Globe and Mail this week. The campaign is to raise awareness of the teaching and research excellence at Western. It is also intended to support student recruitment at a time when students, together with their families, are determining which university they want to attend.

The six-week campaign has two components: ads in the print and electronic editions of the Globe and Mail, which began this week – and Toronto transit ads, which begin next week. The transit ads include:

- Posters at the Lawrence, Eglinton, College, Wellesley and Queen's Park stations
- 100 transit car "door surrounds" that feature two posters on either side of the doors of the subway cars along with an overhead poster.
- 93 exteriors posters on buses in the city's core

These ads are in addition to those Western takes out to celebrate successes, such as the recent Fraunhofer Centre opening, and to recognize our new Chancellor, Joseph Rotman.

The awareness campaign will be followed in January with a national advertising campaign to support the second half of the fundraising campaign and I look forward to sharing more information on that closer to its launch.

We welcome your feedback and I am pleased to respond to any questions.



Fund Raising Initiatives Quarterly Report
as at July 31, 2012
(with comparative figures for the fiscal year 2010/11 and 2009/10)

Exhibit I

(1) PLEDGE DATA	May 1, 2012 to April 30, 2013 (000's)			May 1, 2011 to April 30, 2012 (000's)			May 1, 2010 to April 30, 2011 (000's)		
	Target	Actual	Actual as a % of Target	Target	Actual	Actual as a % of Target	Target	Actual	Actual as a % of Target
Pledges outstanding May 1,	168,106	168,106	N/A	98,090	98,107	N/A	150,720	89,957	N/A
New Gifts & Pledges (Gross)	65,000	5,867	9.03%	60,000	130,211	217.02%	54,000	63,563	117.71%
Pledges cancelled/amended on new/prior pledges	-2,684	-144	5.37%	-2,041	-1,691	82.85%	-3,204	-1,743	54.40%
Net New Pledges/Gifts	62,316	5,723	9.18%	57,959	128,520	221.74%	50,796	61,820	121.70%
(2) Contributions received in payment of pledges/gifts:									
Foundation Western	9,500	2,249	23.67%	10,000	9,073	90.73%	10,000	11,490	114.90%
Western University	103,716	6,706	6.47%	38,289	49,066	128.15%	38,887	41,784	107.45%
Richard Ivey School of Business (Asia) Limited	422	1	0.13%	400	382	95.50%	396	396	100.00%
Total contributions received	113,638	8,955	7.88%	48,689	58,521	120.19%	49,283	53,670	108.90%
Net Pledges Outstanding	116,784	164,874	141.18%	107,360	168,106	156.58%	152,233	98,107	64.45%

Cost Per Dollar Raised

Advancement Fund Raising Units	Net			Net			Net		
	Pledges/Gifts	Expenses	Cost per Dollar Raised	Pledges	Expenses	Cost per Dollar Raised	Pledges	Expenses	Cost per Dollar Raised
Development	2,555	936	\$0.37	103,220	3,888	\$0.04	27,733	3,389	\$0.12
Richard Ivey School of Business	809	383	\$0.47	16,871	1,641	\$0.10	25,171	1,634	\$0.06
Foundation Western	2,501	293	\$0.12	9,700	1,174	\$0.12	10,542	1,145	\$0.11
Total Expenses/Cost Per Dollar Raised	5,866	1,612	\$0.27	129,791	6,703	\$0.05	63,446	6,168	\$0.10
(3) 3-Year Average Cost Per Dollar Raised	6,059	1,625	\$0.27						

(1) **Includes total activity of:**

- Western University
- The University of Western Ontario Inc.
- The University of Western Ontario (UK) Foundation
- The University of Western Ontario (HK) Foundation
- Foundation Western
- Richard Ivey School of Business (Asia) Limited

(2) Represents all contributions including cash, gift in kind and gift in purchase discounts entered in the Contributor Relations System within reporting period and may differ from the general ledger reporting period.

(3) 3-Year Rolling Average - reflects the major gift factor and the post campaign period.

ITEMS REFERRED BY SENATE

Contents	Consent Agenda
Revisions to MAPP 7.10: Standardized Training for Animal Care and Use	Yes
Revisions to MAPP 7.12: Policy and Procedures for the Use of Animals in Research and Teaching	Yes
Schulich School of Medicine & Dentistry – Dissolving the Department of the History of Medicine	Yes
Faculty of Arts and Humanities: Dissolving the Program in Writing, Rhetoric and Professional Communications; Renaming the Department of English to Department of English and Writing Studies	Yes
Report of the Academic Colleague	Yes
Academic Administrative Appointments	Yes

FOR APPROVAL

1. **Revisions to MAPP 7.10: Standardized Training for Animal Care and Use**

Recommended: That changes to the policy on Standardized Training for Animal Care and Use (MAPP 7.10) be approved as set out in [Annex 1](#).

Background

The majority of the revisions incorporate non-substantive updates, or provide clarification to accurately reflect current procedures and processes.

Substantive changes:

- The policy was revised to comply with the new MAPP policy protocol, where a policy is separated from its procedure.
- The requirement to update the Animal Care and Use on-line course was removed as it is no longer required under CCAC rules.
- Accountability for animal user training and competence was defined.

2. **Revisions to MAPP 7.12: Policy and Procedures for the Use of Animals in Research Testing and Teaching**

Recommended: That changes to the Policy and Procedures on Use of Animals in Research, Testing and Teaching (MAPP 7.12) be approved as set out in [Annex 2](#).

Background

Some of the revisions incorporate non-substantive updates, or provide clarification to accurately reflect current procedures and processes.

Substantive changes:

- The policy was revised to comply with the new MAPP policy protocol, where a policy is separated from its procedures.
- A reference to Non-Arms-Length-Managed (NALM) Certification was included and clarification regarding animal procurement was added to the policy.

3. **Schulich School of Medicine & Dentistry – Dissolving the Department of the History of Medicine**

Recommended: That the Department of the History of Medicine in the Schulich School of Medicine & Dentistry close effective January 1, 2013.

Background:

The Chair of the Department of the History of Medicine in the Schulich School of Medicine & Dentistry, Dr. Paul Potter, retired in 2009. Dr. Potter had also held the Hannah Chair of History of Medicine since the establishment of the Chair in 1999. Dr. Potter was the only faculty member remaining in the department at the time of his retirement. It has, therefore, been recommended by the Council of the Schulich School of Medicine & Dentistry that the department be closed.

While the department will close, the Hannah Chair will continue and a new holder of the chair has been selected. The Chair has a joint appointment between the Department of History, Faculty of Social Science and Department of Surgery, Schulich School of Medicine & Dentistry. The holder of the Hannah Chair will continue to deliver the history of medicine education to medical students as well as carry out scholarly work.

4. **Faculty of Arts and Humanities: Dissolving the Program in Writing, Rhetoric and Professional Communications; Renaming the Department of English to Department of English and Writing Studies**

Recommended: That effective January 1, 2013, the Program in Writing, Rhetoric and Professional Communications be closed, and;

That the Department of English be renamed the Department of English and Writing Studies.

Background:

The Department of English and the Program in Writing, Rhetoric and Professional Communication have decided to join forces and take advantage of certain natural affinities between their offerings. In particular, the two areas will work together to develop the new Honors Specialization in Creative Writing, and the forthcoming Professional Masters in Communication. The motion was passed at the Faculty of Arts and Humanities Council meeting on October 15, 2012. Official documents, such as the Faculty Constitution related to the naming of these two areas will be amended after Board approval is received.

FOR INFORMATION

5. **Report of the Academic Colleague**

See [Annex 3](#).

6. **Academic Administrative Appointments**

Appointments

Schulich School of Medicine & Dentistry

S.P. McKellar, Hannah Chair in the History of Medicine, July 1, 2012 – June 30, 2017



MANUAL OF ADMINISTRATIVE POLICIES and PROCEDURES

POLICY #7.10 – Standardized Training in Animal Care and Use

Policy Category:	Research
Subject:	Standardized training in animal care and use
Approving Authority:	Board of Governors
Responsible Officer:	Vice-President (Research)
Responsible Office:	Office of the Vice-President (Research)
Related Procedures:	Procedures for Standardized Training in Animal Care and Use [web link]
Related University Policies:	MAPP 7.12 [http://www.uwo.ca/univsec/mapp/section7/mapp712.pdf]
Effective Date:	November 22, 2012
Revised:	January 27, 2005, November 29, 2009

I. PURPOSE & SCOPE

This policy applies to all individuals who care for and/or use animals, as defined by the Canadian Council on Animal Care (CCAC), in academic endeavours for research, teaching, and/or testing, or through their employment at the University and its affiliated institutions, herein referred to as “Animal Users,” describes the training required by CCAC for such individuals, and assigns responsibility for the oversight of that training.

II. POLICY

1. “Animal Users,” are required to complete the following CCAC-mandated animal training:
 - 1.1 *Animal Care and Use Sakai* course;
 - 1.2 Appropriate ‘hands-on’ workshops and their associated Sakai prerequisites.
2. Evidence that an equivalent course or experience has been obtained elsewhere *may* exempt the Animal User from the requirement outline in paragraph 1, above. Detailed information with respect to potential exemptions is found in the associated procedures for this policy [[web link](#)].
3. Documentation that the *Animal Care and Use Sakai* course and applicable workshops have been completed successfully must be confirmed prior to performing procedures on animals.
4. On behalf of the Animal Use Subcommittee (AUS), the Animal Care and Veterinary Services (ACVS) Research Education Team will administer the University’s CCAC-mandated training programs.

5. The responsibility for institutional animal user training and competence assurance is shared among ACVS Research Education Team, as well as Principal Investigators and other supervisors, e.g. facility managers. Principal Investigators and supervisors are responsible to oversee all new animal users and ensure demonstrated competence of all individuals working with animals associated with AUS-approved Animal Use Protocols within AUS-approved areas,
6. Competence in animal procedures is defined as meeting the appropriate standards in accordance with all regulatory guidelines.

Procedures for Standardized Training in Animal Care & Use

I Training Program

1. On behalf of the AUS, the ACVS Research Education Team will:
 - 1.1 Develop appropriate training programs with reference to CCAC requirements;
 - 1.2 Assess the training requirements of all personnel at the University and its affiliated institutions that have been added to the *Personnel Training Requirements* section within *Animal Use Protocol*, *Annual Renewal*, and *Protocol Modification* forms, and those associated with animal care and use as employees of the University and its affiliates;
 - 1.2.1 Where problems of animal care and use occur, the AUS may require further individual training before animal work may resume.
 - 1.3 Communicate outstanding training requirements and associated processes to individuals and their supervisors (Principal Investigator or Manager);
 - 1.4. Implement training programs;
 - 1.5 Document training requirements and history using a training database for all individuals requiring CCAC-mandated training.
2. Non-Compliance Response – Instances of procedural non-compliance will be pursued in accordance with the AUS Post Approval Monitoring Policy.

II Training Exemptions

1. Competency Assessment – Principal Investigators may request a competency assessment for themselves and their research staff in lieu of hands-on workshop completion. Competency assessments are available only to individuals with extensive and demonstrated experience in animal care and use. In lieu of instruction, participants will be asked to demonstrate skills as outlined within their Animal Use Protocols. The AUS Chair and/or the ACVS Director and/or an ACVS Veterinarian and/or arms-length Principal Investigators with recognized expertise may be asked to assist the ACVS Research Education Team in evaluating the Animal User's eligibility for a competency assessment or other training exemption.
2. Training exemptions *may* be considered if written documentation illustrating equivalent training obtained elsewhere is provided to the ACVS Research Education Team.
 - 2.1 The AUS has final authority for the granting of training exemptions
3. Individuals exempted through a competency assessment (2.1) will receive a *Certificate of Competence* from the ACVS Research Education Team.
4. The AUS may revoke a *Certificate of Competence* if evidence is presented to the Committee that the individual is no longer competent in a defined procedure or specialty. The individual will be required to take training indicated by the AUS Chair before resuming animal work.

WESTERN

MANUAL OF ADMINISTRATIVE POLICIES and PROCEDURES

POLICY #7.12 – POLICY FOR THE USE OF ANIMALS IN RESEARCH, TESTING AND TEACHING

Policy Category:	Research
Subject:	Use of animals in research, testing and teaching
Approving Authority:	Board of Governors
Responsible Officer(s):	Vice-President (Research)
Responsible Office(s):	Office of the Vice-President (Research)
Related Procedures:	Procedures for the Use of Animals in Research, Testing and Teaching
Related University Policies:	MAPP 7.10 [http://www.uwo.ca/univsec/map/section7/mapp710.pdf]
Effective Date:	November 22, 2012
Revised:	September 28, 2006, November 26, 2009

I. PURPOSE & SCOPE

This policy and its associated procedures apply to all instances of research, testing and teaching involving animals performed at Western, its affiliated hospitals, affiliated university colleges and research institutes, to field research that involves more than simple observation (e.g., trapping, artificial provisioning), and to Principal Investigators or instructors. The policy outlines the responsibilities and accountabilities of university officers and the various committees and subcommittees established in accordance with the regulations of the Canadian Council on Animal Care (CCAC).

Failure to comply with this policy and its associated procedures may prevent approval of *Animal Use Protocols* (AUPs), and may result in the withdrawal of AUP approval by AUS. As warranted by the severity of circumstances, this may also include revoking University approval for research, testing and teaching involving animals, and notification of this decision to Department Chairs, Institute Heads, and appropriate granting and licensing agencies.

II. POLICY

1. The University Council on Animal Care (UCAC), chaired by the Vice-President (Research), is responsible to Senate for all aspects of procurement, maintenance and use of animals as defined by the Canadian Council on Animal Care (CCAC), hereafter referred to as “animals,” in research, testing and teaching. UCAC shall ensure adequate institutional oversight according to the outlined procedures in this document, and as outlined within its *Terms of Reference*.
2. The Vice-President (Research) is the senior administrative officer of the University responsible for the care and use of animals at the University and its affiliated institutions - London Health Sciences Centre/Lawson Health Research Institute, St. Joseph’s Hospital, Robarts Research Institute,

MAPP 7.12 – Policy for the Use of Animals in Research, Testing & Teaching

Page 2

Siebens-Drake Medical Research Institute, Huron University College, Kings University College, Brescia University College, Child and Parent Resource Institute.

3. A subcommittee of the UCAC, the Animal Care Governance Steering Committee (ACGSC) is responsible for reviewing and administering matters on behalf of the UCAC and advising the Animal Use Subcommittee on matters requiring time-sensitive consideration, as outlined within its *Terms of Reference*.
4. A subcommittee of UCAC, the Animal Use Subcommittee (AUS) is responsible to UCAC for ensuring that the care and use of animals associated with the University's research, testing and teaching activities are in compliance with all Federal, Provincial, and University policy statutory requirements, regulations and guidelines, as outlined within the *Terms of Reference* of ACGSC.
5. The Department of Animal Care and Veterinary Services (ACVS), under the leadership of its Director and directly accountable to the Vice-President (Research), serves the University and its affiliated institutions, its associated committees, and the research community, by ensuring animal care and use meets all Federal, Provincial, and University policy statutory requirements, regulations, and guidelines, and by facilitating the research of scientists using CCAC-defined animal models.
6. ACVS Veterinary Services staff, Institutional Compliance Officers, Facility Managers, Animal Care staff, Principal Investigators, and research staff, share responsibility for the ongoing assessment and maintenance of appropriate animal care and welfare.
7. Any Principal Investigator or instructor intending to use animals for research, testing or teaching in association with the University or its affiliates must be a University faculty member or veterinarian or LHSC-Lawson appointed scientist, unless otherwise approved by the AUS.

**Council of Ontario Universities
Report to Senate of the Academic Colleague
Kathleen Okruhlik, October 2012**

The COU Academic Colleagues met in Toronto on September 28, 2012. (This meeting also served as an orientation event for new members.) There was no meeting of the full Council.

Summer Consultations and Strategic Mandate Agreements: There are reports that Ministry staff were “surprised and impressed” by the amount of innovation already occurring at Ontario universities and some indications that the Minister’s appetite for three-year degrees may be waning.

Provincial Finances: Ontario is running a deficit of \$13-\$15 million and has the seventh-largest sovereign debt in the world. No matter which party is in power over the next several years, things are likely to be pretty tight.

Tuition Framework: The Ministry has not engaged the universities on this issue. COU hopes that people will not focus solely on the “sticker price” but take into account OSAP and other forms of student financial aid when comparing Ontario with other jurisdictions.

Graduate Expansion: The next round of spaces has been allocated, but no further details are available.

Draft Legislation on Compensation: The new legislation imposing a two-year wage freeze will affect those who bargain collectively and those who don’t. “Bargaining” will go on, but if the Ministry does not like the result, a settlement will be imposed.

HEQCO Productivity Study: The Higher Education Quality Council of Ontario has been empowered to collect data on faculty productivity. The focus was to be on *teaching* productivity. Four universities have agreed to a pilot study that involves not just teaching but *overall* productivity.

Audit of Teaching Evaluations: This audit conducted by the Auditor General will be coming out in December.

Credit Transfer: Seven Ontario universities have formed a sort of pilot consortium and agreed to a protocol for mutual recognition for one another’s first- and second-year courses. (This does not imply *program* credit for such courses.)

COU Needs Your Help: The Council needs more stories (and media releases) that describe teaching innovation and community relations. The Council receives many stories about donors and about research achievements but far too few that describe other aspects of our work. Your stories will help the Council to represent us more effectively in its talks with the provincial government.

COU Updates: These are available to Senators on request. Please ask Marci Oswald.

I will be pleased to answer questions about these and other issues on the floor of Senate.

Council of Ontario Universities
Report to Senate of the Academic Colleague
Kathleen Okruhlik, November 2012

The COU Academic Colleagues met in Toronto October 11-12, 2012. There was a meeting of the full Council on October 12th.

Resignation of the Minister: Glen Murray resigned from cabinet on November 3, 2012 in order to run as a candidate for the leadership of the Ontario Liberal Party. Since this was after the October COU meeting, there was no discussion of what would happen next with respect to the leadership of MTCU (or any impact on issues discussed below).

Stakeholder Responses to Ministry Consultations: Bonnie Patterson, President and CEO of COU, presented a slideshow that summarized and compared stakeholder responses to the recent round of Ministry consultations. The six items immediately below summarize the COU response and compare that response to those from other stakeholders.

Online Education: COU will establish a consortium to leverage existing online courses, expertise, and infrastructure. (This is the model COU prefers to the establishment of a free-standing degree-granting “online university”.)

Support for Universities: COU will focus on helping member universities in their efforts to innovate (quickly) in the following areas: learning outcome assessment, experiential learning, credit transfer, and degree supplements.

Best practices: COU will help member universities share best practices in teaching and learning as well as in the area of efficiencies and productivity.

Other Shareholders: These include OPSEU, CUPE, OCUFA, OUSA, CFS-O, and Colleges Ontario. In some areas, there is broad agreement among stakeholders; in other areas, not so much.

Areas of Broad Consensus: COU cites (1) agreement among stakeholders that funding should not be tied to learning outcomes, (2) support for COU’s online consortium structure (non-degree granting, built on existing resources), and (3) support for four-year degree structure and its benefits.

Other Areas: COU is concerned about the potential impact of the colleges’ pitch to offer three-year degrees (not diplomas) and to use “honors” to describe four-year degree programs. The Council is also worried about the amount of investment required for high-quality stand-alone nursing programs at colleges. And it notes the tension between the rhetorics of “sameness” and “differentiation” that permeate present discussions.

New COU Update: This one is dated November 7th and is available (to Senators only). Please email Marci Oswald if you would like her to send you an electronic copy.

I regret having to miss the November meeting of Senate but will be pleased to answer questions by email: <okruhlik@uwo.ca>.